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# Refinement and Difference for Probabilistic Automata

Benoît Delahaye<sup>1</sup>, Uli Fahrenberg<sup>1</sup>, Kim G. Larsen<sup>2</sup>, and Axel Legay<sup>1</sup>

<sup>1</sup> INRIA/IRISA, France, {benoit.delahaye,ulrich.fahrenberg,axel.legay}@inria.fr

<sup>2</sup> Aalborg University, Denmark, kgl@cs.aau.dk

**Abstract.** This paper studies a difference operator for stochastic systems whose specifications are represented by Abstract Probabilistic Automata (APAs). In the case refinement fails between two specifications, the target of this operator is to produce a specification APA that represents all witness PAs of this failure. Our contribution is an algorithm that allows to approximate the difference of two deterministic APAs with arbitrary precision. Our technique relies on new quantitative notions of distances between APAs used to assess convergence of the approximations as well as on an in-depth inspection of the refinement relation for APAs. The procedure is effective and not more complex than refinement checking.

## 1 Introduction

Probabilistic automata as promoted by Segala and Lynch [37] are a widely-used formalism for modeling systems with probabilistic behavior. These include randomized security and communication protocols, distributed systems, biological processes and many other applications. Probabilistic model checking [23, 5, 41] is then used to analyze and verify the behavior of such systems. Given the prevalence of applications of such systems, probabilistic model checking is a field of great interest. However, and similarly to the situation for non-probabilistic model checking, probabilistic model checking suffers from *state space explosion*, which hinders its applicability considerably.

One generally successful technique for combating state space explosion is the use of *compositional* techniques, where a (probabilistic) system is model checked by verifying its components one by one. This compositionality can be obtained by *decomposition*, that is, to check whether a given system satisfies a property, the system is automatically decomposed into components which are then verified. Several attempts at such automatic decomposition techniques have been made [11, 28], but in general, this approach has not been very successful [10].

As an alternative to the standard model checking approaches using logical specifications, such as e.g. LTL, MITL or PCTL [33, 3, 20], automata-based specification theories have been proposed, such as Input/Output Automata [31], Interface Automata [12], and Modal Specifications [29, 34, 6]. These support composition *at specification level*; hence a model which naturally consists of a composition of several components can be verified by model checking each component on its own, against its own specification. The overall model will then automatically satisfy the composition of the component specifications. Remark that this solves the decomposition problem mentioned above: instead of trying to automatically decompose a system for verification, specification theories make it possible to verify the system without constructing it in the first place.

Moreover, specification theories naturally support *stepwise refinement* of specifications, i.e. iterative implementation of specifications, and *quotient*, i.e. the synthesis of missing component specifications given an overall specification and a partial implementation. Hence they allow both logical and compositional reasoning at the same time, which makes them well-suited for compositional verification.

For probabilistic systems, such automata-based specification theories have been first introduced in [25], in the form of Interval Markov Chains. The focus there is only on refinement however; to be able to consider also composition and conjunction, we have in [8] proposed Constraint Markov Chains as a natural generalization which uses general constraints instead of intervals for next-state probabilities.

In [14], we have extended this specification theory to probabilistic automata, which combine stochastic and non-deterministic behaviors. These *Abstract Probabilistic Automata* (APA) combine modal specifications and constraint Markov chains. Our specification theory using APA should be viewed as an alternative to classical PCTL [20], probabilistic I/O automata [32] and stochastic extensions of CSP [21]. Like these, its purpose is model checking of probabilistic properties, but unlike the alternatives, APA support compositionality at specification level.

In the context of refinement of specifications, it is important that informative debugging information is given in case refinement fails. We hence need to be able to compare APA at the semantic level, i.e. to capture the *difference between their sets of implementations*. This is, then, what we attempt in this paper: given two APAs  $N_1$  and  $N_2$ , to generate another APA  $N$  for which  $\llbracket N \rrbracket = \llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$  (where  $\llbracket N \rrbracket$  denotes the set of implementations of  $N$ ).

As a second contribution, we introduce a notion of *distance* between APAs which measures how far away one APA is from refining a second one. This distance, adapted from our work in [39, 6], is *accumulating* and *discounted*, so that differences between APAs accumulate along executions, but in a way so that differences further in the future are discounted, i.e. have less influence on the result than had they occurred earlier.

Both difference and distances are important tools to compare APAs which are not in refinement. During an iterative development process, one usually wishes to successively replace specifications by more refined ones, but due to external circumstances such as e.g. cost of implementation, it may happen that a specification needs to be replaced by one which is not a refinement of the old one. This is especially important when models incorporate quantitative information, such as for APAs; the reason for the failed refinement might simply be some changes in probability constraints due to e.g. measurement updates. In this case, it is important to assess precisely *how much* the new specification differs from the old one. Both the distance between the new and old specifications, as well as their precise difference, can aid in this assessment.

Unfortunately, because APAs are finite-state structures, the difference between two APAs cannot always itself be represented by an APA. Instead of extending the formalism, we propose to *approximate* the difference for a subclass of APAs. We introduce both over- and under-approximations of the difference of two *deterministic* APAs. We construct a sequence of under-approximations which converges to the exact difference, hence eventually capturing all PAs in  $\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$ , and a fixed over-approximation which may capture also PAs which are not in the exact difference, but whose distance to the

exact difference is zero: hence any superfluous PAs which are captured by the over-approximation are infinitesimally close to the real difference. Taken together, these approximations hence solve the problem of assessing the precise difference between deterministic APAs in case of failing refinement.

We restrict ourselves to the subclass of deterministic APAs, as it allows syntactic reasoning to decide and compute refinement. Indeed, for deterministic APAs, syntactic refinement coincides with semantic refinement, hence allowing for efficient procedures. Note that although the class of APAs we consider is called “deterministic”, it still offers non-determinism in the sense that one can choose between different actions in a given state.

**Related work.** This paper embeds into a series of articles on APA as a specification theory [14–16]. In [14] we introduce deterministic APA, generalizing earlier work on interval-based abstractions of probabilistic systems [18, 25, 26], and define notions of refinement, logical composition, and structural composition for them. We also introduce a notion of *compositional abstraction* for APA. In [15] we extend this setting to non-deterministic APA and give a notion of (lossy) determinization, and in [16] we introduce the tool APAC. The distance and difference we introduce in the present paper complement the refinement and abstraction from [14].

Compositional abstraction of APA is also considered in [38], but using a different refinement relation. Differences between specifications are developed in [35] for the formalism of modal transition systems, and distances between specifications, in the variant of weighted modal automata, have been considered in [6]. Distances between probabilistic systems have been introduced in [13, 17, 40].

The originality of our present work is, then, the ability to measure how far away one probabilistic specification is from being a refinement of another, using distances and our new difference operator. Both are important in assessing precisely how much one APA differs from another.

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## 2 Background

Let  $\text{Dist}(S)$  denote the set of all discrete probability distributions over a finite set  $S$  and  $\mathbb{B}_2 = \{\top, \perp\}$ .

**Definition 1.** A *probabilistic automaton (PA)* [37] is a tuple  $(S, A, L, AP, V, s_0)$ , where  $S$  is a finite set of states with the initial state  $s_0 \in S$ ,  $A$  is a finite set of actions,  $L: S \times A \times \text{Dist}(S) \rightarrow \mathbb{B}_2$  is a (two-valued) transition function,  $AP$  is a finite set of atomic propositions and  $V: S \rightarrow 2^{AP}$  is a state-labeling function.

Consider a state  $s$ , an action  $a$ , and a probability distribution  $\mu$ . The value of  $L(s, a, \mu)$  is set to  $\top$  in case there exists a transition from  $s$  under action  $a$  to a distribution  $\mu$  on successor states. In other cases, we have  $L(s, a, \mu) = \perp$ . We now introduce Abstract Probabilistic Automata (APA) [14], that is a specification theory for PAs. For a finite set  $S$ , we let  $C(S)$  denote the set of constraints over discrete probability distributions

on  $S$ . Each element  $\varphi \in C(S)$  describes a set of distributions:  $Sat(\varphi) \subseteq Dist(S)$ . Let  $\mathbb{B}_3 = \{\top, ?, \perp\}$ . APAs are formally defined as follows.

**Definition 2.** An APA [14] is a tuple  $(S, A, L, AP, V, S_0)$ , where  $S$  is a finite set of states,  $S_0 \subseteq S$  is a set of initial states,  $A$  is a finite set of actions, and  $AP$  is a finite set of atomic propositions.  $L : S \times A \times C(S) \rightarrow \mathbb{B}_3$  is a three-valued distribution-constraint function, and  $V : S \rightarrow 2^{2^{AP}}$  maps each state in  $S$  to a set of admissible labelings.

APAs play the role of specifications in our framework. An APA transition abstracts transitions of a certain unknown PA, called its implementation. Given a state  $s$ , an action  $a$ , and a constraint  $\varphi$ , the value of  $L(s, a, \varphi)$  gives the modality of the transition. More precisely, the value  $\top$  means that transitions under  $a$  must exist in the PA to some distribution in  $Sat(\varphi)$ ;  $?$  means that these transitions are allowed to exist;  $\perp$  means that such transitions must not exist. We will sometimes view  $L$  as a *partial* function, with the convention that a lack of value for a given argument is equivalent to the  $\perp$  value. The function  $V$  labels each state with a subset of the powerset of  $AP$ , which models a disjunctive choice of possible combinations of atomic propositions. We say that an APA  $N = (S, A, L, AP, V, S_0)$  is in *Single Valuation Normal Form* (SVNF) if the valuation function  $V$  assigns at most one valuation to all states, i.e.  $\forall s \in S, |V(s)| \leq 1$ . From [14], we know that every APA can be turned into an APA in SVNF with the same set of implementations. An APA is *deterministic* [14] if (1) there is at most one outgoing transition for each action in all states, (2) two states with overlapping atomic propositions can never be reached with the same transition, and (3) there is only one initial state.

Note that every PA is an APA in SVNF where all constraints represent single-point distributions. As a consequence, all the definitions we present for APAs in the following can be directly extended to PAs.

Let  $N = (S, A, L, AP, V, \{s_0\})$  be an APA in SVNF and let  $v \subseteq AP$ . Given a state  $s \in S$  and an action  $a \in A$ , we will use the notation  $\text{succ}_{s,a}(v)$  to represent the set of potential  $a$ -successors of  $s$  that have  $v$  as their valuation. Formally,  $\text{succ}_{s,a}(v) = \{s' \in S \mid V(s') = \{v\}, \exists \varphi \in C(S), \mu \in Sat(\varphi) : L(s, a, \varphi) \neq \perp, \mu(s') > 0\}$ . When clear from the context, we may use  $\text{succ}_{s,a}(s')$  instead of  $\text{succ}_{s,a}(V(s'))$ . Remark that when  $N$  is deterministic, we have  $|\text{succ}_{s,a}(v)| \leq 1$  for all  $s, a, v$ .

### 3 Refinement and Distances between APAs

We introduce the notion of refinement between APAs. Roughly speaking, refinement guarantees that if  $A_1$  refines  $A_2$ , then the set of implementations of  $A_1$  is included in the one of  $A_2$ . We first recall the notion of simulation  $\in_{\mathcal{R}}$  between two given distributions.

**Definition 3 ([14]).** Let  $S$  and  $S'$  be non-empty sets, and  $\mu, \mu'$  be distributions;  $\mu \in Dist(S)$  and  $\mu' \in Dist(S')$ . We say that  $\mu$  is simulated by  $\mu'$  with respect to a relation  $\mathcal{R} \subseteq S \times S'$  and a correspondence function  $\delta : S \rightarrow (S' \rightarrow [0, 1])$  iff

1. for all  $s \in S$  with  $\mu(s) > 0$ ,  $\delta(s)$  is a distribution on  $S'$ ,
2. for all  $s' \in S'$ ,  $\sum_{s \in S} \mu(s) \cdot \delta(s)(s') = \mu'(s')$ , and
3. whenever  $\delta(s)(s') > 0$ , then  $(s, s') \in \mathcal{R}$ .

We write  $\mu \in_{\mathcal{R}}^{\delta} \mu'$  if  $\mu$  is simulated by  $\mu'$  w.r.t  $\mathcal{R}$  and  $\delta$ , and  $\mu \in_{\mathcal{R}} \mu'$  if there exists  $\delta$  with  $\mu \in_{\mathcal{R}}^{\delta} \mu'$ .

We will also need distribution simulations without the requirement of a relation  $\mathcal{R} \subseteq S \times S'$  (hence also without claim 3 above); these we denote by  $\mu \in^{\delta} \mu'$ .

**Definition 4 ([14]).** Let  $N_1 = (S_1, A, L_1, AP, V_1, S_0^1)$  and  $N_2 = (S_2, A, L_2, AP, V_2, S_0^2)$  be APAs. A relation  $\mathcal{R} \subseteq S_1 \times S_2$  is a refinement relation if and only if, for all  $(s_1, s_2) \in \mathcal{R}$ , we have  $V_1(s_1) \subseteq V_2(s_2)$  and

1.  $\forall a \in A, \forall \varphi_2 \in C(S_2)$ , if  $L_2(s_2, a, \varphi_2) = \top$ , then  $\exists \varphi_1 \in C(S_1) : L_1(s_1, a, \varphi_1) = \top$  and  $\forall \mu_1 \in \text{Sat}(\varphi_1), \exists \mu_2 \in \text{Sat}(\varphi_2)$  such that  $\mu_1 \in_{\mathcal{R}} \mu_2$ ,
2.  $\forall a \in A, \forall \varphi_1 \in C(S_1)$ , if  $L_1(s_1, a, \varphi_1) \neq \perp$ , then  $\exists \varphi_2 \in C(S_2)$  such that  $L_2(s_2, a, \varphi_2) \neq \perp$  and  $\forall \mu_1 \in \text{Sat}(\varphi_1), \exists \mu_2 \in \text{Sat}(\varphi_2)$  such that  $\mu_1 \in_{\mathcal{R}} \mu_2$ .

We say that  $N_1$  refines  $N_2$ , denoted  $N_1 \preceq N_2$ , iff there exists a refinement relation such that  $\forall s_0^1 \in S_0^1, \exists s_0^2 \in S_0^2 : (s_0^1, s_0^2) \in \mathcal{R}$ . Since any PA  $P$  is also an APA, we say that  $P$  satisfies  $N$  (or equivalently  $P$  implements  $N$ ), denoted  $P \models N$ , iff  $P \preceq N$ . In [14], it is shown that for deterministic APAs  $N_1, N_2$ , we have  $N_1 \preceq N_2 \iff \llbracket N_1 \rrbracket \subseteq \llbracket N_2 \rrbracket$ , where  $\llbracket N_i \rrbracket$  denotes the set of implementations of APA  $N_i$ . Hence for deterministic APAs, the difference  $\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$  is non-empty iff  $N_1 \not\preceq N_2$ . This equivalence breaks for non-deterministic APAs [14], whence we develop our theory only for deterministic APAs.

To show a convergence theorem about our difference construction in Sect. 4.2 below, we need a relaxed notion of refinement which takes into account that APAs are a *quantitative* formalism. Indeed, refinement as of Def. 4 is a purely qualitative relation; if both  $N_2 \not\preceq N_1$  and  $N_3 \not\preceq N_1$ , then there are no criteria to compare  $N_2$  and  $N_3$  with respect to  $N_1$ , saying which one is the closest to  $N_1$ . We provide such a relaxed notion by generalizing refinement to a *discounted distance* which provides precisely such criteria. In Sect. 4.2, we will show how those distances can be used to prove that increasingly precise difference approximations between APAs converge to the real difference. The next definition shows how a distance between states is lifted to a distance between constraints.

**Definition 5.** Let  $d : S_1 \times S_2 \rightarrow \mathbb{R}^+$  and  $\varphi_1 \in C(S_1), \varphi_2 \in C(S_2)$  be constraints in  $N_1$  and  $N_2$ . Define the distance  $D_{N_1, N_2}$  between  $\varphi_1$  and  $\varphi_2$  as follows:

$$D_{N_1, N_2}(\varphi_1, \varphi_2, d) = \sup_{\mu_1 \in \text{Sat}(\varphi_1)} \left[ \inf_{\mu_2 \in \text{Sat}(\varphi_2)} \left( \inf_{\delta: \mu_1 \in^{\delta} \mu_2} \sum_{(s_1, s_2) \in S_1 \times S_2} \mu_1(s_1) \delta(s_1)(s_2) d(s_1, s_2) \right) \right]$$

For the definition of  $d$  below, we say that states  $s_1 \in S_1, s_2 \in S_2$  are *not compatible* if either (1)  $V_1(s_1) \neq V_2(s_2)$ , (2) there exists  $a \in A$  and  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, a, \varphi_1) \neq \perp$  and for all  $\varphi_2 \in C(S_2), L_2(s_2, a, \varphi_2) = \perp$ , or (3) there exists  $a \in A$  and  $\varphi_2 \in C(S_2)$  such that  $L_2(s_2, a, \varphi_2) = \top$  and for all  $\varphi_1 \in C(S_1), L_1(s_1, a, \varphi_1) \neq \top$ . For compatible states, their distance is similar to the accumulating branching distance on modal transition systems as introduced in [6, 39], adapted to our formalism. In the

rest of the paper, the real constant  $0 < \lambda < 1$  represents a discount factor. Formally,  $d : S_1 \times S_2 \rightarrow [0, 1]$  is the least fixpoint to the following system of equations:

$$d(s_1, s_2) = \begin{cases} 1 & \text{if } s_1 \text{ is not compatible with } s_2 \\ \max \begin{cases} \max_{\{a, \varphi_1 : L_1(s_1, a, \varphi_1) \neq \perp\}} \min_{\{\varphi_2 : L_2(s_2, a, \varphi_2) \neq \perp\}} \lambda D_{N_1, N_2}(\varphi_1, \varphi_2, d) \\ \max_{\{a, \varphi_2 : L_2(s_2, a, \varphi_2) = \top\}} \min_{\{\varphi_1 : L_1(s_1, a, \varphi_1) = \top\}} \lambda D_{N_1, N_2}(\varphi_1, \varphi_2, d) \end{cases} & \text{otherwise} \end{cases} \quad (1)$$

Since the above system of linear equations defines a *contraction*, the existence and uniqueness of its least fixpoint is ensured, cf. [30]. This definition intuitively extends to PAs, which allows us to propose the two following notions of distance:

**Definition 6.** Let  $N_1 = (S_1, A, L_1, AP, V_1, S_0^1)$  and  $N_2 = (S_2, A, L_2, AP, V_2, S_0^2)$  be APAs in SVNF. The syntactic distance and thorough distances between  $N_1$  and  $N_2$  are defined as follows:

- **Syntactic distance.**  $d(N_1, N_2) = \max_{s_0^1 \in S_0^1} (\min_{s_0^2 \in S_0^2} d(s_0^1, s_0^2))$ .
- **Thorough distance.**  $d_t(N_1, N_2) = \sup_{P_1 \in \llbracket N_1 \rrbracket} (\inf_{P_2 \in \llbracket N_2 \rrbracket} d(P_1, P_2))$ .

Note that the notion of thorough distance defined above intuitively extends to sets of PAs: given two sets of PAs  $\mathbb{S}_1, \mathbb{S}_2$ , we have  $d_t(\mathbb{S}_1, \mathbb{S}_2) = \sup_{P_1 \in \mathbb{S}_1} (\inf_{P_2 \in \mathbb{S}_2} d(P_1, P_2))$ .

The intuition here is that  $d(s_1, s_2)$  compares not only the probability distributions at  $s_1$  and  $s_2$ , but also (recursively) the distributions at all states reachable from  $s_1$  and  $s_2$ , weighted by their probability. Each step is discounted by  $\lambda$ , hence steps further in the future contribute less to the distance. We also remark that  $N_1 \preceq N_2$  implies  $d(N_1, N_2) = 0$ . It can easily be shown, cf. [39], that both  $d$  and  $d_t$  are *asymmetric pseudometrics* (or *hemimetrics*), i.e. satisfying  $d(N_1, N_1) = 0$  and  $d(N_1, N_2) + d(N_2, N_3) \geq d(N_1, N_3)$  for all APAs  $N_1, N_2, N_3$  (and similarly for  $d_t$ ). The fact that they are only pseudometrics, i.e. that  $d(N_1, N_2) = 0$  does not imply  $N_1 = N_2$ , will play a role in our convergence arguments later. The following proposition shows that the thorough distance is bounded above by the syntactic distance. Hence we can bound distances between (sets of) implementations by the syntactic distance between their specifications.

**Proposition 1.** For all APAs  $N_1$  and  $N_2$  in SVNF, it holds that  $d_t(N_1, N_2) \leq d(N_1, N_2)$ .

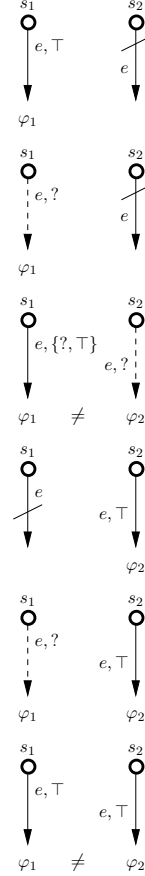
## 4 Difference Operators for Deterministic APAs

The difference  $N_1 \setminus N_2$  of two APAs  $N_1, N_2$  is meant to be a syntactic representation of *all counterexamples*, i.e. all PAs  $P$  for which  $P \in \llbracket N_1 \rrbracket$  but  $P \notin \llbracket N_2 \rrbracket$ . We will see later that such difference cannot be an APA itself; instead we will *approximate* it using APAs.

Because  $N_1$  and  $N_2$  are deterministic, we know that the difference  $\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$  is non-empty if and only if  $N_1 \not\preceq N_2$ . So let us assume that  $N_1 \not\preceq N_2$ , and let  $\mathcal{R}$  be a maximal refinement relation between  $N_1$  and  $N_2$ . Since  $N_1 \not\preceq N_2$ , we know that  $(s_0^1, s_0^2) \notin \mathcal{R}$ . Given  $(s_1, s_2) \in S_1 \times S_2$ , we can distinguish between the following cases:

1.  $(s_1, s_2) \in \mathcal{R}$

2.  $V_1(s_1) \neq V_2(s_2)$ ,
3.  $(s_1, s_2) \notin \mathcal{R}$  and  $V_1(s_1) = V_2(s_2)$ , and
  - (a) there exists  $e \in A$  and  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, e, \varphi_1) = \top$  and  $\forall \varphi_2 \in C(S_2) : L_2(s_2, e, \varphi_2) = \perp$ ,
  - (b) there exists  $e \in A$  and  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, e, \varphi_1) = ?$  and  $\forall \varphi_2 \in C(S_2) : L_2(s_2, e, \varphi_2) = \perp$ ,
  - (c) there exists  $e \in A$  and  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, e, \varphi_1) \geq ?$  and  $\exists \varphi_2 \in C(S_2) : L_2(s_2, e, \varphi_2) = ?$ ,  $\exists \mu \in \text{Sat}(\varphi_1)$  such that  $\forall \mu' \in \text{Sat}(\varphi_2) : \mu \notin_{\mathcal{R}} \mu'$ ,
  - (d) there exists  $e \in A$  and  $\varphi_2 \in C(S_2)$  such that  $L_2(s_2, e, \varphi_2) = \top$  and  $\forall \varphi_1 \in C(S_1) : L_1(s_1, e, \varphi_1) = \perp$ ,
  - (e) there exists  $e \in A$  and  $\varphi_2 \in C(S_2)$  such that  $L_2(s_2, e, \varphi_2) = \top$  and  $\exists \varphi_1 \in C(S_1) : L_1(s_1, e, \varphi_1) = ?$ ,
  - (f) there exists  $e \in A$  and  $\varphi_2 \in C(S_2)$  such that  $L_2(s_2, e, \varphi_2) = \top$ ,  $\exists \varphi_1 \in C(S_1) : L_1(s_1, e, \varphi_1) = \top$  and  $\exists \mu \in \text{Sat}(\varphi_1)$  such that  $\forall \mu' \in \text{Sat}(\varphi_2) : \mu \notin_{\mathcal{R}} \mu'$ .



Remark that because of the determinism and SVNf of APAs  $N_1$  and  $N_2$ , cases 1, 2 and 3 cannot happen at the same time. Moreover, although the cases in 3 can happen simultaneously, they cannot be “triggered” by the same action. In order to keep track of these “concurrent” situations, we define the following sets.

Given a pair of states  $(s_1, s_2)$ , let us define  $B_a(s_1, s_2)$  to be the set of actions in  $A$  such that case 3.a above holds. If there is no such action, then  $B_a(s_1, s_2) = \emptyset$ . Similarly, we define  $B_b(s_1, s_2)$ ,  $B_c(s_1, s_2)$ ,  $B_d(s_1, s_2)$ ,  $B_e(s_1, s_2)$  and  $B_f(s_1, s_2)$  to be the sets of actions such that cases 3.b, c, d, e and 3.f holds respectively. Given a set  $X \subseteq \{a, b, c, d, e, f\}$ , let  $B_X(s_1, s_2) = \cup_{x \in X} B_x(s_1, s_2)$ . In addition, let  $B(s_1, s_2) = B_{\{a, b, c, d, e, f\}}(s_1, s_2)$ .

#### 4.1 Over-Approximating Difference

We now try to compute an APA that represents the difference between the sets of implementations of two APAs. We first observe that such a set may not be representable



by an APA, then we will propose over- and under-approximations. Consider the APAs  $N_1$  and  $N_2$  given in Figures 1a and 1b, where  $\alpha \neq \beta \neq \gamma$ . Consider the difference of their sets of implementations. It is easy to see that this set contains all the PAs that can finitely loop on valuation  $\alpha$  and then move into a state with valuation  $\beta$ . Since there is no bound on the time spent in the loop, there is no finite-state APA that can represent this set of implementations.

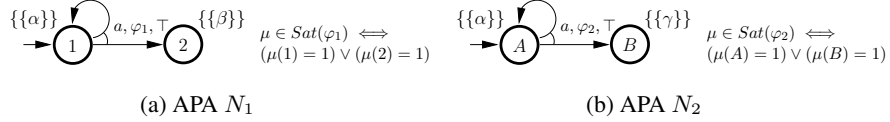


Fig. 1: APAs  $N_1$  and  $N_2$  such that  $\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$  cannot be represented using a finite-state APA.

Now we propose a construction  $\setminus^*$  that over-approximates the difference between APAs in the following sense: given two deterministic APAs  $N_1 = (S_1, A, L_1, AP, V_1, \{s_0^1\})$  and  $N_2 = (S_2, A, L_2, AP, V_2, \{s_0^2\})$  in SVNF, such that  $N_1 \not\preceq N_2$ , we have  $\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket \subseteq \llbracket N_1 \setminus^* N_2 \rrbracket$ . We first observe that if  $V_1(s_0^1) \neq V_2(s_0^2)$ , i.e.  $(s_0^1, s_0^2)$  in case 2, then  $\llbracket N_1 \rrbracket \cap \llbracket N_2 \rrbracket = \emptyset$ . In such case, we define  $N_1 \setminus^* N_2$  as  $N_1$ . Otherwise, we build on the reasons for which refinement fails between  $N_1$  and  $N_2$ . Note that the assumption  $N_1 \not\preceq N_2$  implies that the pair  $(s_0^1, s_0^2)$  can never be in any refinement relation, hence in case 1. We first give an informal intuition of how the construction works and then define it formally.

In our construction, states in  $N_1 \setminus^* N_2$  will be elements of  $S_1 \times (S_2 \cup \{\perp\}) \times (A \cup \{\varepsilon\})$ . Our objective is to ensure that any implementation of our constructed APA will satisfy  $N_1$  and not  $N_2$ . In  $(s_1, s_2, e)$ , states  $s_1$  and  $s_2$  keep track of executions of  $N_1$  and  $N_2$ . Action  $e$  is the action of  $N_1$  that will be used to break satisfaction with respect to  $N_2$ , i.e. the action that will be the cause for which any implementation of  $(s_1, s_2, e)$  cannot satisfy  $N_2$ . Since satisfaction is defined recursively, the breaking is not necessarily immediate and can be postponed to successors.  $\perp$  is used to represent states that can only be reached after breaking the satisfaction relation to  $N_2$ . In these states, we do not need to keep track of the corresponding execution in  $N_2$ , thus only focus on satisfying  $N_1$ . States of the form  $(s_1, s_2, \varepsilon)$  with  $s_2 \neq \perp$  are states where the satisfaction is broken by a distribution that does not match constraints in  $N_2$  (cases 3.c and 3.f). In order to invalidate these constraints, we still need to keep track of the corresponding execution in  $N_2$ , hence the use of  $\varepsilon$  instead of  $\perp$ .

The transitions in our construction will match the different cases shown in the previous section, ensuring that in each state, either the relation is broken immediately or reported to at least one successor. Since there can be several ways of breaking the relation in state  $(s_0^1, s_0^2)$ , each corresponding to an action  $e \in B(s_0^1, s_0^2)$ , the APA  $N_1 \setminus^* N_2$  will have one initial state for each of them. Formally, if  $(s_0^1, s_0^2)$  is in case 3, we define the over-approximation of the difference of  $N_1$  and  $N_2$  as follows.

$e \in$	$N_1, N_2$	$N_1 \setminus^* N_2$	Formal Definition of $L$
$B_a(s_1, s_2)$			For all $a \neq e \in A$ and $\varphi \in C(S_1)$ such that $L_1(s_1, a, \varphi) \neq \perp$ , let $L((s_1, s_2, e), a, \varphi^\perp) = L_1(s_1, a, \varphi)$ . In addition, let $L((s_1, s_2, e), e, \varphi_1^\perp) = \top$ . For all other $b \in A$ and $\varphi \in C(S)$ , let $L((s_1, s_2, e), b, \varphi) = \perp$ .
$B_b(s_1, s_2)$			
$B_d(s_1, s_2)$			For all $a \in A$ and $\varphi \in C(S_1)$ such that $L_1(s_1, a, \varphi) \neq \perp$ , let $L((s_1, s_2, e), a, \varphi^\perp) = L_1(s_1, a, \varphi)$ . For all other $b \in A$ and $\varphi \in C(S)$ , let $L((s_1, s_2, e), b, \varphi) = \perp$ .
$B_e(s_1, s_2)$			For all $a \neq e \in A$ and $\varphi \in C(S_1)$ such that $L_1(s_1, a, \varphi) \neq \perp$ , let $L((s_1, s_2, e), a, \varphi^\perp) = L_1(s_1, a, \varphi)$ . In addition, let $L((s_1, s_2, e), e, \varphi_{12}^B) = ?$ . For all other $b \in A$ and $\varphi \in C(S)$ , let $L((s_1, s_2, e), b, \varphi) = \perp$ .
$B_c(s_1, s_2)$			For all $a \in A$ and $\varphi \in C(S_1)$ such that $L_1(s_1, a, \varphi) \neq \perp$ (including $e$ and $\varphi_1$ ), let $L((s_1, s_2, e), a, \varphi^\perp) = L_1(s_1, a, \varphi)$ . In addition, let $L((s_1, s_2, e), e, \varphi_{12}^B) = \top$ . For all other $b \in A$ and $\varphi \in C(S)$ , let $L((s_1, s_2, e), b, \varphi) = \perp$ .
$B_f(s_1, s_2)$			

Table 1: Definition of the transition function  $L$  in  $N_1 \setminus^* N_2$ .

**Definition 7.** Let  $N_1 \setminus^* N_2 = (S, A, L, AP, V, S_0)$ , where  $S = S_1 \times (S_2 \cup \{\perp\}) \times (A \cup \{\varepsilon\})$ ,  $V(s_1, s_2, a) = V(s_1)$  for all  $s_2$  and  $a$ ,  $S_0 = \{(s_0^1, s_0^2, f) \mid f \in B(s_0^1, s_0^2)\}$ , and  $L$  is defined by:

- If  $s_2 = \perp$  or  $e = \varepsilon$  or  $(s_1, s_2)$  in case 1 or 2, then for all  $a \in A$  and  $\varphi \in C(S_1)$  such that  $L_1(s_1, a, \varphi) \neq \perp$ , let  $L((s_1, s_2, e), a, \varphi^\perp) = L_1(s_1, a, \varphi)$ , with  $\varphi^\perp$  defined below. For all other  $b \in A$  and  $\varphi \in C(S)$ , let  $L((s_1, s_2, e), b, \varphi) = \perp$ .
- Else, we have  $(s_1, s_2)$  in case 3 and  $B(s_1, s_2) \neq \emptyset$  by construction. The definition of  $L$  is given in Table 1, with the constraints  $\varphi^\perp$  and  $\varphi_{12}^B$  defined hereafter.

Given  $\varphi \in C(S_1)$ ,  $\varphi^\perp \in C(S)$  is defined as follows:  $\mu \in \text{Sat}(\varphi^\perp)$  iff  $\forall s_1 \in S_1, \forall s_2 \neq \perp, \forall b \neq \varepsilon, \mu(s_1, s_2, b) = 0$  and the distribution  $(\mu \downarrow_1: s_1 \mapsto \mu(s_1, \perp, \varepsilon))$  is in  $\text{Sat}(\varphi)$ . Given a state  $(s_1, s_2, e) \in S$  with  $s_2 \neq \perp$  and  $e \neq \varepsilon$  and two constraints  $\varphi_1 \in C(S_1)$ ,  $\varphi_2 \in C(S_2)$  such that  $L_1(s_1, e, \varphi_1) \neq \perp$  and  $L_2(s_2, e, \varphi_2) \neq \perp$ , the constraint  $\varphi_{12}^B \in C(S)$  is defined as follows:  $\mu \in \text{Sat}(\varphi_{12}^B)$  iff (1) for all  $(s'_1, s'_2, c) \in S$ , we have  $\mu(s'_1, s'_2, c) > 0 \Rightarrow s'_2 = \perp$  if  $\text{succ}_{s_2, e}(s'_1) = \emptyset$  and  $\{s'_2\} = \text{succ}_{s_2, e}(s'_1)$  otherwise, and  $c \in B(s'_1, s'_2) \cup \{\varepsilon\}$ , (2) the distribution  $\mu_1: s'_1 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_2 \in S_2 \cup \{\perp\}} \mu(s'_1, s'_2, c)$  satisfies  $\varphi_1$ , and (3) either (a) there exists  $(s'_1, \perp, c)$  such that  $\mu(s'_1, \perp, c) > 0$  or (b) the distribution  $\mu_2: s'_2 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_1 \in S_1} \mu(s'_1, s'_2, c)$  does not satisfy  $\varphi_2$ , or (c) there

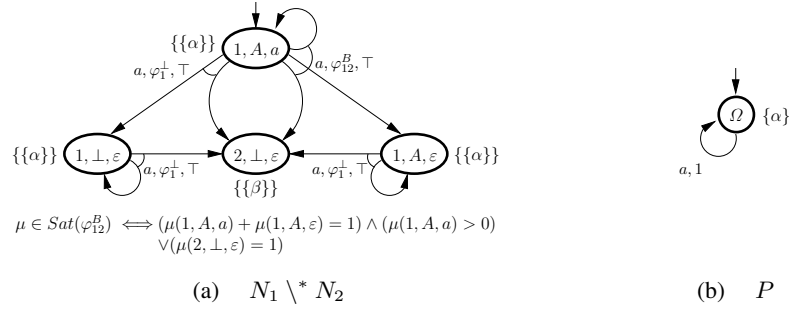


Fig. 2: Over-approximating difference  $N_1 \setminus^* N_2$  of APAs  $N_1$  and  $N_2$  from Figure 1 and PA  $P$  such that  $P \models N_1 \setminus^* N_2$  and  $P \models N_2$ .

exists  $s'_1 \in S_1$ ,  $s'_2 \in S_2$  and  $c \neq \varepsilon$  such that  $\mu(s'_1, s'_2, c) > 0$ . Informally, distributions in  $\varphi_{12}^B$  must (1) follow the corresponding execution is  $N_1$  and  $N_2$  if possible, (2) satisfy  $\varphi_1$  and (3) either (a) reach a state in  $N_1$  that cannot be matched in  $N_2$  or (b) break the constraint  $\varphi_2$ , or (c) report breaking the relation to at least one successor state.

The following theorem shows that  $N_1 \setminus^* N_2$  is an over-approximation of the difference of  $N_1$  and  $N_2$  in terms of sets of implementations.

**Theorem 1.** *For all deterministic APAs  $N_1$  and  $N_2$  in SVNF such that  $N_1 \not\subseteq N_2$ , we have  $\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket \subseteq \llbracket N_1 \setminus^* N_2 \rrbracket$ .*

The reverse inclusion unfortunately does not hold. Intuitively, as explained in the construction of the constraint  $\varphi_{12}^B$  above, one can postpone the breaking of the satisfaction relation for  $N_2$  to the next state (condition (3.c)). This assumption is necessary in order to produce an APA representing *all* counterexamples. However, when there are cycles in the execution of  $N_1 \setminus^* N_2$ , this assumption allows to postpone forever, thus allowing for implementations that will ultimately satisfy  $N_2$ . This is illustrated in the following example.

*Example 1.* Consider the APAs  $N_1$  and  $N_2$  given in Fig. 1. Their over-approximating difference  $N_1 \setminus^* N_2$  is given in Fig. 2a. One can see that the PA  $P$  in Fig. 2b satisfies both  $N_1 \setminus^* N_2$  and  $N_2$ .

We will later see in Corollary 1 that even though  $N_1 \setminus^* N_2$  may be capturing too many counterexamples, the *distance* between  $N_1 \setminus^* N_2$  and the real set of counterexamples  $\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$  is zero. This means that the two sets are infinitesimally close to each other, so in this sense,  $N_1 \setminus^* N_2$  is the *best possible* over-approximation.

## 4.2 Under-Approximating Difference

We now propose a construction that instead *under-estimates* the difference between APAs. This construction resembles the over-approximation presented in the previous section, the main difference being that in the under-approximation, states are indexed

$e \in$	$N_1, N_2$	$N_1 \setminus^K N_2$	Formal Definition of $L$
$B_a(s_1, s_2)$			For all $a \neq e \in A$ and $\varphi \in C(S_1)$ such that $L_1(s_1, a, \varphi) \neq \perp$ , let $L((s_1, s_2, e, k), a, \varphi^\perp) = L_1(s_1, a, \varphi)$ . In addition, let $L((s_1, s_2, e, k), e, \varphi_1^\perp) = \top$ . For all other $b \in A$ and $\varphi \in C(S)$ , let $L((s_1, s_2, e, k), b, \varphi) = \perp$ .
$B_b(s_1, s_2)$			
$B_d(s_1, s_2)$			For all $a \in A$ and $\varphi \in C(S_1)$ such that $L_1(s_1, a, \varphi) \neq \perp$ , let $L((s_1, s_2, e, k), a, \varphi^\perp) = L_1(s_1, a, \varphi)$ . For all other $b \in A$ and $\varphi \in C(S)$ , let $L((s_1, s_2, e, k), b, \varphi) = \perp$ .
$B_e(s_1, s_2)$			For all $a \neq e \in A$ and $\varphi \in C(S_1)$ such that $L_1(s_1, a, \varphi) \neq \perp$ , let $L((s_1, s_2, e, k), a, \varphi^\perp) = L_1(s_1, a, \varphi)$ . In addition, let $L((s_1, s_2, e, k), e, \varphi_{12}^{B,k}) = ?$ . For all other $b \in A$ and $\varphi \in C(S)$ , let $L((s_1, s_2, e, k), b, \varphi) = \perp$ .
$B_c(s_1, s_2)$			For all $a \in A$ and $\varphi \in C(S_1)$ such that $L_1(s_1, a, \varphi) \neq \perp$ (including $e$ and $\varphi_1$ ), let $L((s_1, s_2, e, k), a, \varphi^\perp) = L_1(s_1, a, \varphi)$ . In addition, let $L((s_1, s_2, e, k), e, \varphi_{12}^{B,k}) = \top$ . For all other $b \in A$ and $\varphi \in C(S)$ , let $L((s_1, s_2, e, k), b, \varphi) = \perp$ .
$B_f(s_1, s_2)$			

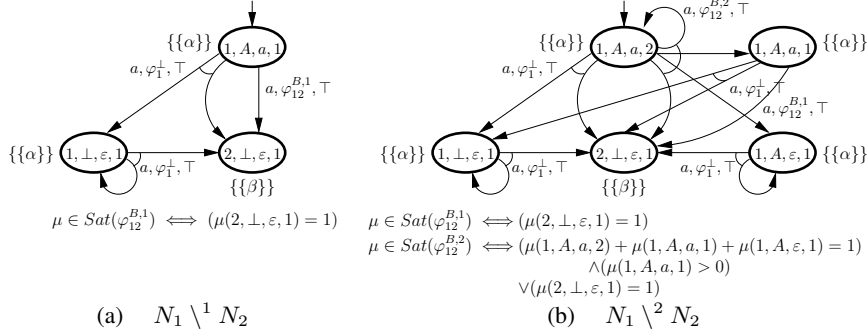
Table 2: Definition of the transition function  $L$  in  $N_1 \setminus^K N_2$ .

with an integer that represents the maximal depth of the unfolding of counterexamples. The construction is as follows.

Let  $N_1 = (S_1, A, L_1, AP, V_1, \{s_0^1\})$  and  $N_2 = (S_2, A, L_2, AP, V_2, \{s_0^2\})$  be two deterministic APAs in SVNf such that  $N_1 \not\preceq N_2$ . Let  $K \in \mathbb{N}$  be the parameter of our construction. As in Section 4.1, if  $V_1(s_0^1) \neq V_2(s_0^2)$ , i.e.  $(s_0^1, s_0^2)$  in case 2, then  $\llbracket N_1 \rrbracket \cap \llbracket N_2 \rrbracket = \emptyset$ . In this case, we define  $N_1 \setminus^K N_2$  as  $N_1$ . Otherwise, the under-approximation is defined as follows.

**Definition 8.** Let  $N_1 \setminus^K N_2 = (S, A, L, AP, V, S_0^K)$ , where  $S = S_1 \times (S_2 \cup \{\perp\}) \times (A \cup \{\varepsilon\}) \times \{1, \dots, K\}$ ,  $V(s_1, s_2, a, k) = V(s_1)$  for all  $s_2, a, k < K$ ,  $S_0^K = \{(s_0^1, s_0^2, f, K) \mid f \in B(s_0^1, s_0^2)\}$ , and  $L$  is defined by:

- If  $s_2 = \perp$  or  $e = \varepsilon$  or  $(s_1, s_2)$  in case 1 or 2, then for all  $a \in A$  and  $\varphi \in C(S_1)$  such that  $L_1(s_1, a, \varphi) \neq \perp$ , let  $L((s_1, s_2, e, k), a, \varphi^\perp) = L_1(s_1, a, \varphi)$ , with  $\varphi^\perp$  defined below. For all other  $b \in A$  and  $\varphi \in C(S)$ , let  $L((s_1, s_2, e, k), b, \varphi) = \perp$ .
- Else we have  $(s_1, s_2)$  in case 3 and  $B(s_1, s_2) \neq \emptyset$  by construction. The definition of  $L$  is given in Table 2. The constraints  $\varphi^\perp$  and  $\varphi_{12}^{B,k}$  are defined hereafter.

Fig. 3: Under-approximations at level 1 and 2 of the difference of APAs  $N_1$  and  $N_2$  from Figure 1.

Given a constraint  $\varphi \in C(S_1)$ , the constraint  $\varphi^\perp \in C(S)$  is defined as follows:  $\mu \in \text{Sat}(\varphi^\perp)$  iff  $\forall s_1 \in S_1, \forall s_2 \neq \perp, \forall b \neq \varepsilon, \forall k \neq 1, \mu(s_1, s_2, b, k) = 0$  and the distribution  $(\mu \downarrow_1: s_1 \mapsto \mu(s_1, \perp, \varepsilon, 1))$  is in  $\text{Sat}(\varphi)$ . Given a state  $(s_1, s_2, e, k) \in S$  with  $s_2 \neq \perp$  and  $e \neq \varepsilon$  and two constraints  $\varphi_1 \in C(S_1)$  and  $\varphi_2 \in C(S_2)$  such that  $L_1(s_1, e, \varphi_1) \neq \perp$  and  $L_2(s_2, e, \varphi_2) \neq \perp$ , the constraint  $\varphi_{12}^{B,k} \in C(S)$  is defined as follows:  $\mu \in \text{Sat}(\varphi_{12}^{B,k})$  iff (1) for all  $(s'_1, s'_2, c, k') \in S$ , if  $\mu(s'_1, s'_2, c, k') > 0$ , then  $c \in B(s'_1, s'_2) \cup \{\varepsilon\}$  and either  $\text{succ}_{s_2, e}(s'_1) = \emptyset, s'_2 = \perp$  and  $k' = 1$ , or  $\{s'_2\} = \text{succ}_{s_2, e}(s'_1)$ , (2) the distribution  $\mu_1: s'_1 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_2 \in S_2 \cup \{\perp\}, k' \geq 1} \mu(s'_1, s'_2, c, k')$  satisfies  $\varphi_1$ , and (3) either (a) there exists  $(s'_1, \perp, c, 1)$  such that  $\mu(s'_1, \perp, c, 1) > 0$ , or (b) the distribution  $\mu_2: s'_2 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_1 \in S_1, k' \geq 1} \mu(s'_1, s'_2, c, k')$  does not satisfy  $\varphi_2$ , or (c)  $k \neq 1$  and there exists  $s'_1 \in S_1, s'_2 \in S_2, c \neq \varepsilon$  and  $k' < k$  such that  $\mu(s'_1, s'_2, c, k') > 0$ . The construction is illustrated in Figure 3.

### 4.3 Properties

We already saw in Theorem 1 that  $N_1 \setminus^* N_2$  is a correct over-approximation of the difference of  $N_1$  by  $N_2$  in terms of sets of implementations. The next theorem shows that, similarly, all  $N_1 \setminus^K N_2$  are correct under-approximations. Moreover, for increasing  $K$  the approximation is improving, and eventually all PAs in  $\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$  are getting caught. (Hence in a set-theoretic sense,  $\lim_{K \rightarrow \infty} \llbracket N_1 \setminus^K N_2 \rrbracket = \llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$ .)

**Theorem 2.** For all deterministic APAs  $N_1$  and  $N_2$  in SVNF such that  $N_1 \not\preceq N_2$ :

1. for all  $K \in \mathbb{N}$ , we have  $N_1 \setminus^K N_2 \preceq N_1 \setminus^{K+1} N_2$ ,
2. for all  $K \in \mathbb{N}$ ,  $\llbracket N_1 \setminus^K N_2 \rrbracket \subseteq \llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$ , and
3. for all PA  $P \in \llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$ , there exists  $K \in \mathbb{N}$  such that  $P \in \llbracket N_1 \setminus^K N_2 \rrbracket$ .

Note that item 3 implies that for all PA  $P \in \llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$ , there is a finite specification capturing  $\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$  “up to”  $P$ .

Using our distance defined in Section 3, we can make the above convergence result more precise. The next proposition shows that the speed of convergence is exponential in  $K$ ; hence in practice,  $K$  will typically not need to be very large.

**Proposition 2.** *Let  $N_1$  and  $N_2$  be two deterministic APAs in SVNF such that  $N_1 \not\preceq N_2$ , and let  $K \in \mathbb{N}$ . Then  $d_t(\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket, \llbracket N_1 \setminus^K N_2 \rrbracket) \leq \lambda^K (1 - \lambda)^{-1}$ .*

For the actual application at hand however, the particular accumulating distance  $d$  we have introduced in Section 3 may have limited interest, especially considering that one has to choose a discounting factor for actually calculating it.

What is more interesting are results of a *topological* nature which abstract away from the particular distance used and apply to all distances which are *topologically equivalent* to  $d$ . The results we present below are of this nature.

It can be shown, c.f. [39], that accumulating distances for different choices of  $\lambda$  are topologically equivalent (indeed, even Lipschitz equivalent), hence the particular choice of discounting factor is not important. Also some other system distances are Lipschitz equivalent to the accumulating one, in particular the so-called *point-wise* and *maximum-lead* ones, see again [39].

**Theorem 3.** *Let  $N_1$  and  $N_2$  be two deterministic APAs in SVNF such that  $N_1 \not\preceq N_2$ .*

1. *The sequence  $(N_1 \setminus^K N_2)_{K \in \mathbb{N}}$  converges in the distance  $d$ , and  $\lim_{K \rightarrow \infty} d(N_1 \setminus^* N_2, N_1 \setminus^K N_2) = 0$ .*
2. *The sequence  $(\llbracket N_1 \setminus^K N_2 \rrbracket)_{K \in \mathbb{N}}$  converges in the distance  $d_t$ , and  $\lim_{K \rightarrow \infty} d_t(\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket, \llbracket N_1 \setminus^K N_2 \rrbracket) = 0$ .*

Recall that as  $d$  and  $d_t$  are not metrics, but only (asymmetric) pseudometrics (i.e. hemi-metrics), the above sequences may have more than one limit; hence the particular formulation. The theorem's statements are topological as they only allude to convergence of sequences and distance 0; topologically equivalent distances obey precisely the property of having the same convergence behaviour and the same kernel, c.f. [1].

The next corollary, which is easily proven from the above theorem by noticing that its first part implies that also  $\lim_{K \rightarrow \infty} d_t(\llbracket N_1 \setminus^* N_2 \rrbracket, \llbracket N_1 \setminus^K N_2 \rrbracket) = 0$ , shows what we mentioned already at the end of Section 4.1:  $N_1 \setminus^* N_2$  is the best possible over-approximation of  $\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$ .

**Corollary 1.** *Let  $N_1$  and  $N_2$  be two deterministic APAs in SVNF such that  $N_1 \not\preceq N_2$ . Then  $d_t(\llbracket N_1 \setminus^* N_2 \rrbracket, \llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket) = 0$ .*

Again, as  $d_t$  is not a metric, the distance being zero does not imply that the sets  $\llbracket N_1 \setminus^* N_2 \rrbracket$  and  $\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$  are equal; it merely means that they are *indistinguishable* by the distance  $d_t$ , or infinitesimally close to each other.

## 5 Conclusion

We have in this paper added an important aspect to the specification theory of Abstract Probabilistic Automata, in that we have shown how to exhaustively characterize the *difference* between two deterministic specifications. In a stepwise refinement methodology, difference is an important tool to gauge refinement failures.

We have also introduced a notion of *discounted distance* between specifications which can be used as another measure for how far one specification is from being a

refinement of another. Using this distance, we were able to show that our sequence of under-approximations converges, semantically, to the real difference of sets of implementations, and that our over-approximation is infinitesimally close to the real difference.

There are many different ways to measure distances between implementations and specifications, allowing to put the focus on either transient or steady-state behavior. In this paper we have chosen one specific discounted distance, placing the focus on transient behavior. Apart from the fact that this can indeed be a useful distance in practice, we remark that the convergence results about our under- and over-approximations are topological in nature and hence apply with respect to all distances which are topologically equivalent to the specific one used here, typically discounted distances. Although the results presented in the paper do not hold in general for the accumulating (undiscounted) distance, there are other notions of distances that are more relevant for steady-state behavior, e.g. limit-average. Whether our results hold in this setting remains future work.

We also remark that we have shown that it is not more difficult to compute the difference of two APAs than to check for their refinement. Hence if a refinement failure is detected (using e.g. the methods presented in our APAC tool), it is not difficult to also compute the difference for information about the reason for refinement failure.

One limitation of our approach is the use of *deterministic* APAs. Even though deterministic specifications are generally considered to suffice from a modeling point of view [29], non-determinism may be introduced e.g. when composing specifications. Indeed, our constructions themselves introduce non-determinism: for deterministic APAs  $N_1, N_2$ , both  $N_1 \setminus^* N_2$  and  $N_1 \setminus^K N_2$  may be non-deterministic. Hence it is of interest to extend our approach to non-deterministic specifications. The problem here is, however, that for non-deterministic specifications, the relation between refinement and inclusion of sets of implementations  $N_1 \preceq N_2 \iff \llbracket N_1 \rrbracket \subseteq \llbracket N_2 \rrbracket$  breaks: we may well have  $N_1 \not\preceq N_2$  but  $\llbracket N_1 \rrbracket \subseteq \llbracket N_2 \rrbracket$ , cf. [14]. So the technique we have used in this paper to compute differences will not work for non-deterministic APAs, and techniques based on *thorough refinement* will have to be used.

As a last note, we wish to compare our approach of difference between APA specifications with the use of *counterexamples* in probabilistic model checking. Counterexample generation is studied in a number of papers [2, 19, 42, 4, 24, 36, 22, 43, 9, 27], typically with the purpose of embedding it into a procedure of counterexample guided abstraction refinement (CEGAR). The focus typically is on generation of *one* particular counterexample to refinement, which can then be used to adapt the abstraction accordingly.

In contrast, our approach at computing APA difference generates a representation of *all counterexamples*. Our focus is not on refinement of abstractions at *system* level, using counterexamples, but on assessment of *specifications*. This is, then, the reason why we want to compute all counterexamples instead of only one. We remark, however, that our approach also can be used, in a quite simplified version, to generate only one counterexample; details of this are in the appendix. Our work is hence supplementary and orthogonal to the CEGAR-type use of counterexamples: CEGAR procedures can be used also to refine APA specifications, but only our difference can assess the precise distinction between specifications.

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## Appendix: Counter-Example Generation

Here we show how some techniques similar to the ones we have introduced can be used to generate *one* counterexample to a failed refinement  $N_1 \not\preceq N_2$ . Note that when we compute the approximating differences  $N_1 \setminus^* N_2$  and  $N_1 \setminus^K N_2$ , we are in principle generating (approximations to) the set of *all* counterexamples, hence what we do in Section 4 is much more general than what we will present below. Generating only *one* counterexample may still be interesting however, as it is somewhat easier than computing the differences  $N_1 \setminus^* N_2$ ,  $N_1 \setminus^K N_2$  and is all that is needed e.g. in a CEGAR approach.

First remark that Definition 4 can be trivially turned into an algorithm for checking refinement. Let  $N_1 = (S_1, A, L_1, AP, V_1, \{s_0^1\})$  and  $N_2 = (S_2, A, L_2, AP, V_2, \{s_0^2\})$  be two deterministic APAs in SVNF. Consider the initial relation  $\mathcal{R}_0 = S_1 \times S_2$ . Compute  $\mathcal{R}_{k+1}$  by removing all pairs of states not satisfying Definition 4 for  $\mathcal{R}_k$ . The sequence  $(\mathcal{R}_n)_{n \in \mathbb{N}}$  is then strictly decreasing and converges to a fixpoint within a finite number of steps  $K \leq |S_1 \times S_2|$ . This fixpoint  $\mathcal{R}_K$  coincides with the maximal refinement relation  $\mathcal{R}$  between  $N_1$  and  $N_2$ . Let the index of this fixpoint be denoted with  $\text{Ind}(\mathcal{R}) = K$ ; hence  $\text{Ind}_{\mathcal{R}}(s_1, s_2) = \min(\max(\{k \mid (s_1, s_2) \in \mathcal{R}_k\}), K)$ .

We now observe that if a pair of states  $(s_1, s_2)$  is removed from the relation  $\mathcal{R}$  by case 3, then we need to keep track of the actions that lead to this removal in order to use them in our counterexample. Whenever a pair of states is in cases 3.a, 3.b, 3.d or 3.e, we have that  $\text{Ind}_{\mathcal{R}}(s_1, s_2) = 0$  and the counterexample can be easily produced by allowing or disallowing the corresponding transitions from  $N_1$  and  $N_2$ . Cases 3.c and 3.f play a different role: due to the fact that they exploit distributions, they are the only cases in which refinement can be broken by using its *recursive* axiom. In these cases, producing a counterexample can be done in two ways: either by using a distribution that does not satisfy the constraints in  $N_2$  (if such a distribution exists, then  $\text{Ind}_{\mathcal{R}}(s_1, s_2) = 0$ ), or by using a distribution that reaches a pair of states  $(s'_1, s'_2) \notin \mathcal{R}$ . When  $0 < \text{Ind}_{\mathcal{R}}(s_1, s_2) < \text{Ind}(\mathcal{R})$ , only the latter is possible. This recursive construction has disadvantages: it allows us to produce loops that may lead to incorrect counterexamples. In order to prevent these loops, we propose to use only those distributions that decrease the value of  $\text{Ind}$  in this particular case. The set  $\text{Break}(s_1, s_2)$  defined hereafter allows us to distinguish the actions for which the value of  $\text{Ind}$  decreases, hence ensuring (by Lemma 1 below) the correctness of our counterexample construction. Let  $(s_1, s_2) \in S_1 \times S_2$  be such that  $V_1(s_1) \subseteq V_2(s_2)$  and  $\text{Ind}_{\mathcal{R}}(s_1, s_2) = k < \text{Ind}(\mathcal{R})$ . We define  $\text{Break}(s_1, s_2)$  to be the set  $\{a \in A \mid \text{either } a \in B_{a,b,d,e}(s_1, s_2) \text{ or there exists } \varphi_1 \in C(S_1) \text{ such that } L_1(s_1, a, \varphi_1) \neq \perp, \varphi_2 \in C(S_2) \text{ such that } L_2(s_2, a, \varphi_2) \neq \perp \text{ and } \mu_1 \in \text{Sat}(\varphi_1) \text{ such that } \forall \mu_2 \in \text{Sat}(\varphi_2), \mu_1 \notin \mathcal{R}_k\mu_2\}$ .

Remark that the conditions defined above are exactly the conditions for removing a pair of states  $(s_1, s_2)$  at step  $k$  of the algorithm for computing  $\mathcal{R}$  defined above. Under the assumption that  $V_1(s_1) \subseteq V_2(s_2)$  and  $\text{Ind}_{\mathcal{R}}(s_1, s_2) = k < \text{Ind}(\mathcal{R})$ , we can be sure that the set  $\text{Break}(s_1, s_2)$  is not empty. Moreover, we have the following lemma.

**Lemma 1.** *For all pairs of states  $(s_1, s_2)$  in case 3 and for all actions  $e \in (B_c(s_1, s_2) \cup B_f(s_1, s_2)) \cap \text{Break}(s_1, s_2)$ , there exist constraints  $\varphi_1$  and  $\varphi_2$  such that  $L_1(s_1, e, \varphi_1) \neq \perp$  and  $L_2(s_2, e, \varphi_2) \neq \perp$  and a distribution  $\mu_1 \in \text{Sat}(\varphi_1)$  such that either*

1.  $\exists s'_1 \in S_1$  such that  $\mu_1(s'_1) > 0$  and  $\text{succ}_{s_2, e}(s'_1) = \emptyset$ , or

2.  $\mu_1^2 : (s'_2 \mapsto \sum_{\{s'_1 \in S_1 \mid s'_2 = \text{succ}_{s_2, e}(s'_1)\}} \mu_1(s'_1)) \notin \text{Sat}(\varphi_2)$ ,
3.  $\exists s'_1 \in S_1, s'_2 \in S_2$  such that  $\mu_1(s'_1) > 0, s'_2 = \text{succ}_{s_2, e}(s'_1)$  and  $\text{Ind}_{\mathcal{R}}(s'_1, s'_2) < \text{Ind}_{\mathcal{R}}(s_1, s_2)$ .

*Proof.* Let  $\mathcal{R}$  be the maximal refinement relation between  $N_1$  and  $N_2$  and let  $(s_1, s_2) \in S_1 \times S_2$  such that  $(s_1, s_2)$  is in case 3, i.e.  $(s_1, s_2) \notin \mathcal{R}$  and  $V_1(s_1) = V_2(s_2)$ . Let  $e \in A$  such that  $e \in (B_c(s_1, s_2) \cup B_f(s_1, s_2)) \cap \text{Break}(s_1, s_2)$ .

Since  $e \in B_c(s_1, s_2) \cup B_f(s_1, s_2)$ , there exists  $\varphi_1 \in C(S_1)$  and  $\varphi_2 \in C(S_2)$  such that either  $L_2(s_2, e, \varphi_2) = \top$  and  $L_1(s_1, e, \varphi_1) = \top$  or  $L_2(s_2, e, \varphi_2) = ?$  and  $L_1(s_1, e, \varphi_1) \neq \perp$ . As a consequence, since  $e \in \text{Break}(s_1, s_2)$ , we have that

$$\exists \mu_1 \in \text{Sat}(\varphi_1), \forall \mu_2 \in \text{Sat}(\varphi_2), \mu_1 \not\in_{\mathcal{R}_k} \mu_2. \quad (2)$$

Let  $K$  be the smallest index such that  $\mathcal{R}_K = \mathcal{R}$ . By construction, we know that  $\text{Ind}_{\mathcal{R}}(s_1, s_2) = k < K$ , i.e.  $(s_1, s_2) \in \mathcal{R}_k$  and  $(s_1, s_2) \notin \mathcal{R}_{k+1}$ . Consider the distribution  $\mu_1$  given by (2) above. We have that  $\forall \mu_2 \in \text{Sat}(\varphi_2), \forall$  corresp.  $\delta, \mu_1 \not\in_{\mathcal{R}_k}^\delta \mu_2$ . Consider the function  $\delta$  such that  $\delta(s'_1, s'_2) = 1$  if  $s'_2 = \text{succ}_{s_2, e}(s'_1)$  and 0 otherwise. There are several cases.

- If there exists  $s'_1 \in S_1$  such that  $\mu_1(s'_1) > 0$  and  $\text{succ}_{s_2, e}(s'_1) = \emptyset$ , then the lemma is proven.
- Else,  $\delta$  is a correspondence function. Since  $\forall \mu_2 \in \text{Sat}(\varphi_2), \mu_1 \not\in_{\mathcal{R}_k} \mu_2$ , we know that either (1)  $\mu_2 : s'_2 \mapsto \sum_{s'_1 \in S_1} \mu_1(s'_1) \delta(s'_1, s'_2)$  does not satisfy  $\varphi_2$ , or (2) there exists  $s'_1$  and  $s'_2$  such that  $\mu_1(s'_1) > 0, \delta(s'_1, s'_2) > 0$  and  $(s'_1, s'_2) \notin \mathcal{R}_k$ .
  1. Assume that  $\mu_2 : s'_2 \mapsto \sum_{s'_1 \in S_1} \mu_1(s'_1) \delta(s'_1, s'_2)$  does not satisfy  $\varphi_2$ . Remark that the function  $\mu_1^2$  from Lemma 1 is equal to  $\mu_2$  defined above. As a consequence,  $\mu_1^2 \notin \varphi_2$ .
  2. Otherwise, assume that there exists  $s'_1$  and  $s'_2$  such that  $\mu_1(s'_1) > 0, \delta(s'_1, s'_2) > 0$  and  $(s'_1, s'_2) \notin \mathcal{R}_k$ . Since  $(s'_1, s'_2) \notin \mathcal{R}_k$ , we have that  $\text{Ind}_{\mathcal{R}}(s'_1, s'_2) < k$ . As a consequence, there exists  $s'_1 \in S_1, s'_2 \in S_2$  such that  $\mu_1(s'_1) > 0, s'_2 = \text{succ}_{s_2, e}(s'_1)$  and  $\text{Ind}_{\mathcal{R}}(s'_1, s'_2) < \text{Ind}_{\mathcal{R}}(s_1, s_2)$ .

□

In other words, the above lemma ensures that a pair  $(s'_1, s'_2)$  such that  $\text{Ind}_{\mathcal{R}}(s'_1, s'_2) = 0$  can be reached within a bounded number of transitions for all pairs of states  $(s_1, s_2)$  in case 3. As explained above, this is a prerequisite for the correctness of the counterexample construction defined hereafter.

We now propose the main contribution of the section: a construction to build counterexamples. Let  $N_1 = (S_1, A, L_1, AP, V_1, \{s_0^1\})$  and  $N_2 = (S_2, A, L_2, AP, V_2, \{s_0^2\})$  be deterministic APAs in SVNF such that  $N_1 \not\preceq N_2$ . Let  $\mathcal{R}$  be the maximal refinement relation between  $N_1$  and  $N_2$ .

**Definition 9.** *The counterexample  $P = (S, A, L, AP, V, s_0)$  is computed as follows:*

- $S = S_1 \times (S_2 \cup \{\perp\})$ ,
- $s_0 = (s_0^1, s_0^2)$ ,

$e \in$	$N_1, N_2$	$P$	Formal Definition of $L$
$B_a(s_1, s_2)$			Let $\varphi_1 \in C(S_1)$ such that $L_1(s_1, e, \varphi_1) \neq \perp$ and let $\mu_1$ be an arbitrary distribution in $Sat(\varphi_1)$ . Define $L((s_1, s_2), e, \mu_1^\perp) = \top$ .
$B_b(s_1, s_2)$			
$B_d(s_1, s_2)$			For all $\mu \in Dist(S)$ , let $L((s_1, s_2), e, \mu) = \perp$ .
$B_e(s_1, s_2)$			
$B_c(s_1, s_2)$			Let $\varphi_1 \in C(S_1)$ and $\varphi_2 \in C(S_2)$ such that $L_1(s_1, e, \varphi_1) \neq \perp$ and $L_2(s_2, e, \varphi_2) \neq \perp$ . <ul style="list-style-type: none"> <li>– If <math>e \in \text{Break}(s_1, s_2)</math>, then let <math>\mu_1</math> be the distribution given in Lemma 1.</li> <li>– Else, let <math>\mu_1</math> be an arbitrary distribution in <math>Sat(\varphi_1)</math> such that <math>\forall \mu_2 \in Sat(\varphi_2), \mu_1 \notin \mathcal{R} \mu_2</math>.</li> </ul> In both cases, let $L((s_1, s_2), e, \widehat{\mu_1}) = \top$ .
$B_f(s_1, s_2)$			

Table 3: Definition of the transition function  $L$  in  $P$ .

- $V(s_1, s_2) = v \in 2^{AP}$  such that  $V_1(s_1) = \{v\}$  for all  $(s_1, s_2) \in S$ , and
- $L$  is defined as follows. Let  $(s_1, s_2) \in S$ .
  - If  $(s_1, s_2)$  in case 1 or 2 or  $s_2 = \perp$ , then for all  $a \in A$  and  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, a, \varphi_1) = \top$ , let  $\mu_1$  be an arbitrary distribution in  $Sat(\varphi_1)$  and let  $L((s_1, s_2), a, \mu_1^\perp) = \top$  with  $\mu_1^\perp \in Dist(S)$  such that  $\mu_1^\perp(s'_1, s'_2) = \mu_1(s'_1)$  if  $s'_2 = \perp$  and 0 otherwise.
  - Else,  $(s_1, s_2)$  is in case 3 and  $B(s_1, s_2) \neq \emptyset$ . For all  $a \in A \setminus B(s_1, s_2)$  and  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, a, \varphi_1) = \top$ , let  $\mu_1$  be an arbitrary distribution in  $Sat(\varphi_1)$  and let  $L((s_1, s_2), a, \mu_1^\perp) = \top$ , with  $\mu_1^\perp$  defined as above. In addition, for all  $e \in B(s_1, s_2)$ , let  $L((s_1, s_2), e, \cdot)$  be defined as in Table 3. In the table, given constraints  $\varphi_1 \in C(S_1)$  and  $\varphi_2 \in C(S_2)$  such that  $L_1(s_1, e, \varphi_1) \neq \perp$  and  $L_2(s_2, e, \varphi_2) \neq \perp$ , and a distribution  $\mu_1 \in Sat(\varphi_1)$ , the distribution  $\widehat{\mu_1} \in Dist(S)$  is defined as follows:  $\widehat{\mu_1}(s'_1, s'_2) = \mu_1(s_1)$  if  $s'_2 = \text{succ}_{s_2, e}(s'_1)$  or  $\text{succ}_{s_2, e}(s'_1) = \emptyset$  and  $s'_2 = \perp$ , and 0 otherwise.

**Theorem 4.** The counterexample PA  $P$  defined above is such that  $P \models N_1$  and  $P \not\models N_2$ .

*Proof.* Let  $N_1 = (S_1, A, L_1, AP, V_1, \{s_0^1\})$  and  $N_2 = (S_2, A, L_2, AP, V_2, \{s_0^2\})$  be deterministic APAs in SVNf such that  $N_1 \not\preceq N_2$ . Let  $P = (S, A, L, AP, V, s_0)$  be the counterexample defined as above. We prove that  $P \models N_1$  and  $P \not\models N_2$ .

$P \models N_1$ . Consider the relation  $\mathcal{R}_s \subseteq S \times S_1$  such that  $(s_1, s_2) \mathcal{R}_s s'_1$  iff  $s_1 = s'_1$ . We prove that  $\mathcal{R}_s$  is a satisfaction relation. Let  $t = (s_1, s_2) \in S$  and consider  $(t, s_1) \in \mathcal{R}_s$ .

- By construction, we have  $V(s_1, s_2) \subseteq V_1(s_1)$ .
- Let  $a \in A$  and  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, a, \varphi_1) = \top$ . There are several cases.
  - If  $(s_1, s_2)$  in case 1 or 2 or  $s_2 = \perp$ , then by construction there exists  $\mu_1^\perp \in \text{Dist}(S)$  such that  $L((s_1, s_2), a, \mu_1^\perp) = \top$ . By construction, we have that there exists  $\mu_1 \in \text{Sat}(\varphi_1)$  such that  $\mu_1^\perp \in_{\mathcal{R}_s} \mu_1$ .
  - Else,  $(s_1, s_2)$  is in case 3 and  $B(s_1, s_2) \neq \emptyset$ . If  $a \notin B(s_1, s_2)$ , the result follows as above. Else, either  $a \in B_a(s_1, s_2) \cup B_b(s_1, s_2)$  and the result follows again by construction, or  $a \in B_c(s_1, s_2) \cup B_f(s_1, s_2)$ . In this case, there exists a distribution  $\widehat{\mu}_1 \in \text{Dist}(S)$  such that  $L((s_1, s_2), a, \widehat{\mu}_1) = \top$ . By construction,  $\widehat{\mu}_1$  is defined as follows:

$$\widehat{\mu}_1(s'_1, s'_2) = \begin{cases} \mu_1(s_1) & \text{if } s'_2 = \text{succ}_{s_2, e}(s'_1) \\ & \text{or } \text{succ}_{s_2, e}(s'_1) = \emptyset \text{ and } s'_2 = \perp, \\ 0 & \text{otherwise} \end{cases}$$

where  $\mu_1$  is either the distribution given by Lemma 1 if  $a \in \text{Break}(s_1, s_2)$  or an arbitrary distribution in  $\text{Sat}(\varphi_1)$ . In both cases,  $\mu_1 \in \text{Sat}(\varphi_1)$ . Consider the function  $\delta : S \times S_1 \rightarrow [0, 1]$  such that  $\delta((s'_1, s'_2), s''_1) = 1$  if  $s'_1 = s''_1$  and 0 otherwise. Using standard techniques, one can verify that  $\delta$  is a correspondence function and that  $\widehat{\mu}_1 \in_{\mathcal{R}_s} \mu_1$ .

- Let  $a \in A$  and  $\mu \in \text{Dist}(S)$  such that  $L((s_1, s_2), a, \mu) = \top$ . By construction of  $P$ , there must exist  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, a, \varphi_1) \neq \perp$  and  $\mu$  is either of the form  $\mu_1^\perp$  or  $\widehat{\mu}_1$  for some  $\mu_1 \in \text{Sat}(\varphi_1)$ . As above, we can prove that in all cases,  $\mu \in_{\mathcal{R}_s} \mu_1$ .

Finally  $\mathcal{R}_s$  is a satisfaction relation. Moreover, we have  $((s_0^1, s_0^2), s_0^1) \in \mathcal{R}_s$ , thus  $P \models N_1$ .

$P \not\models N_2$ . Let  $\mathcal{R}_s \subseteq S \times S_2$  be the maximal satisfaction relation between  $P$  and  $N_2$ , and assume that  $\mathcal{R}_s$  is not empty. Let  $\mathcal{R} \subseteq S_1 \times S_2$  be the maximal refinement relation between  $N_1$  and  $N_2$  and let  $K$  be the smallest index such that  $\mathcal{R}_K = \mathcal{R}$ . We prove that for all  $(s_1, s_2) \in S_1 \times S_2$ , if  $\text{Ind}_{\mathcal{R}}(s_1, s_2) < K$ , then  $((s_1, s_2), s_2) \notin \mathcal{R}_s$ . The proof is done by induction on  $k = \text{Ind}_{\mathcal{R}}(s_1, s_2)$ . Let  $(s_1, s_2) \in S_1 \times S_2$ .

- **Base case.** If  $\text{Ind}_{\mathcal{R}}(s_1, s_2) = 0$ , then there are several cases.
  - If  $(s_1, s_2)$  in case 2, i.e.  $V_1(s_1) \neq V_2(s_2)$ . In this case, we know that  $V((s_1, s_2)) \in V_1(s_1)$ . Thus, by SVNf of  $N_1$  and  $N_2$ , we have that  $V((s_1, s_2)) \notin V_2(s_2)$  and  $((s_1, s_2), s_2) \notin \mathcal{R}_s$ .
  - Else, if  $(s_1, s_2)$  in cases 3.a or 3.b, then there exists  $a \in A$  and  $\mu_1^\perp \in \text{Dist}(S)$  such that  $L((s_1, s_2), a, \mu_1^\perp) = \top$  and  $\forall \varphi_2 \in C(S_2)$ , we have  $L_2(s_2, a, \varphi_2) = \perp$ . As a consequence,  $((s_1, s_2), s_2) \notin \mathcal{R}_s$ .

- Else, if  $(s_1, s_2)$  in cases 3.d or 3.d, then there exists  $a \in A$  and  $\varphi_2 \in C(S_2)$  such that  $L_2(s_2, a, \varphi_2) = \top$  and for all  $\mu \in \text{Dist}(S)$ , we have  $L((s_1, s_2), a, \mu) = \perp$ . As a consequence,  $((s_1, s_2), s_2) \notin \mathcal{R}_s$ .
- Finally, if  $(s_1, s_2)$  in cases 3.c or 3.f, there exists  $e \in (B_c(s_1, s_2) \cup B_f(s_1, s_2)) \cap \text{Break}(s_1, s_2)$ . By Lemma 1, there exists constraints  $\varphi_1$  and  $\varphi_2$  such that  $L_1(s_1, e, \varphi_1) \neq \perp$  and  $L_2(s_2, e, \varphi_2) \neq \perp$  and a distribution  $\mu_1 \in \text{Sat}(\varphi_1)$  such that either
  - (I)  $\exists s'_1 \in S_1$  such that  $\mu_1(s'_1) > 0$  and  $\text{succ}_{s_2, e}(s'_1) = \emptyset$ , or
  - (II)  $\mu_1^2 : (s'_2 \mapsto \sum_{\{s'_1 \in S_1 \mid s'_2 = \text{succ}_{s_2, e}(s'_1)\}} \mu_1(s'_1)) \notin \text{Sat}(\varphi_2)$ ,
  - (III)  $\exists s'_1 \in S_1, s'_2 \in S_2$  such that  $\mu_1(s'_1) > 0, s'_2 = \text{succ}_{s_2, e}(s'_1)$  and  $\text{Ind}_{\mathcal{R}}(s'_1, s'_2) < \text{Ind}_{\mathcal{R}}(s_1, s_2)$ .

By construction, we have that  $L((s_1, s_2), e, \widehat{\mu_1}) = \top$  for  $\mu_1$  given above. Since  $\text{Ind}_{\mathcal{R}}(s_1, s_2) = 0$ , case (III) above is not possible. From cases (I) and (II), we can deduce that for all  $\mu_2 \in \text{Sat}(\varphi_2)$ , we have  $\widehat{\mu_1} \notin_{\mathcal{R}_s} \mu_2$ . Moreover, by determinism of  $N_2$ ,  $\varphi_2$  is the only constraint such that  $L_2(s_2, e, \varphi_2) \neq \perp$ . As a consequence,  $((s_1, s_2), s_2) \notin \mathcal{R}_s$ .

– **Inductive step.** Let  $0 < k < K$  and assume that for all  $k' < k$  and for all  $(s'_1, s_2) \in S_1 \times S_2$ , if  $\text{Ind}_{\mathcal{R}}(s_1, s_2) = k'$ , then  $((s_1, s_2), s_2) \notin \mathcal{R}_s$ . Assume that  $\text{Ind}_{\mathcal{R}}(s_1, s_2) = k$ . There are two cases.

- If  $(s_1, s_2)$  in cases 2, 3.a, 3.b, 3.d or 3.d, the same reasoning applies as for the base case. We thus deduce that  $((s_1, s_2), s_2) \notin \mathcal{R}_s$ .
- Otherwise, if  $(s_1, s_2)$  in cases 3.c or 3.f, then, as above, there exists  $e \in (B_c(s_1, s_2) \cup B_f(s_1, s_2)) \cap \text{Break}(s_1, s_2)$ . By Lemma 1, there exists constraints  $\varphi_1$  and  $\varphi_2$  such that  $L_1(s_1, e, \varphi_1) \neq \perp$  and  $L_2(s_2, e, \varphi_2) \neq \perp$  and a distribution  $\mu_1 \in \text{Sat}(\varphi_1)$  such that either
  - (I)  $\exists s'_1 \in S_1$  such that  $\mu_1(s'_1) > 0$  and  $\text{succ}_{s_2, e}(s'_1) = \emptyset$ , or
  - (II)  $\mu_1^2 : (s'_2 \mapsto \sum_{\{s'_1 \in S_1 \mid s'_2 = \text{succ}_{s_2, e}(s'_1)\}} \mu_1(s'_1)) \notin \text{Sat}(\varphi_2)$ ,
  - (III)  $\exists s'_1 \in S_1, s'_2 \in S_2$  such that  $\mu_1(s'_1) > 0, s'_2 = \text{succ}_{s_2, e}(s'_1)$  and  $\text{Ind}_{\mathcal{R}}(s'_1, s'_2) < \text{Ind}_{\mathcal{R}}(s_1, s_2)$ .

By construction, we have that  $L((s_1, s_2), e, \widehat{\mu_1}) = \top$  for  $\mu_1$  given above. As above, if cases (I) or (II) apply, then we can deduce that  $((s_1, s_2), s_2) \notin \mathcal{R}_s$ . If case (III) applies, then there exists  $(s'_1, s'_2) \in S$  such that  $\widehat{\mu_1}(s'_1, s'_2) > 0, s'_2 = \text{succ}_{s_2, e}(s'_1)$  and  $\text{Ind}_{\mathcal{R}}(s'_1, s'_2) < \text{Ind}_{\mathcal{R}}(s_1, s_2)$ . Since  $s'_2 = \text{succ}_{s_2, e}(s'_1)$ , then, by determinism of  $N_2$ , all correspondence functions  $\delta$  will be such that  $\delta((s'_1, s'_2), s'_2) = 1$ . However, we have that  $\text{Ind}_{\mathcal{R}}(s'_1, s'_2) < k$ , thus by induction  $((s'_1, s'_2), s'_2) \notin \mathcal{R}_s$ . As a consequence, we have that for all  $\mu_2 \in \text{Sat}(\varphi_2)$ , we have  $\widehat{\mu_1} \notin_{\mathcal{R}_s} \mu_2$ . We can thus deduce that  $((s_1, s_2), s_2) \notin \mathcal{R}_s$ .

Finally, we know that  $\text{Ind}_{\mathcal{R}}(s_0^1, s_0^2) < k$ . As a consequence, we have  $((s_0^1, s_0^2), s_0^2) \notin \mathcal{R}_s$  and thus  $P \not\models N_2$ .

□

## Appendix: Proofs

### Proof of Proposition 1

For all APAs  $N_1$  and  $N_2$  in SVNF, it holds that  $d_t(N_1, N_2) \leq d(N_1, N_2)$ . For a distribution  $\mu_1$  and a constraint  $\varphi_2$ , we denote by

$$\text{RD}(\mu_1, \varphi_2) := \{\delta : \mu_1 \subseteq^\delta \mu_2 \mid \mu_2 \in \text{Sat}(\varphi_2)\}$$

the set of all simulations between  $\mu_1$  and distributions satisfying  $\varphi_2$ .

*Proof.* If  $d(N_1, N_2) = 1$ , we have nothing to prove. Otherwise, write  $N_i = (S_i, A, L_i, AP, V_i, S_0^i)$  for  $i = 1, 2$ , and let  $P_1 = (S'_1, A, L'_1, AP, V'_1, \bar{S}_0^1) \in \llbracket N_1 \rrbracket$  and  $\eta > 0$ ; we need to expose  $P_2 \in \llbracket N_2 \rrbracket$  for which  $d(P_1, P_2) \leq d(N_1, N_2) + \eta$ . Note that by the triangle inequality,  $d(P_1, N_2) \leq d(P_1, N_1) + d(N_1, N_2) \leq d(N_1, N_2)$ . Define  $P_2 = (S_2, A, L'_2, AP, V_2, S_0^2)$ , with  $L'_2$  given as follows:

For all  $s'_1 \in S'_1$ ,  $a \in A$ ,  $\mu_1 \in \text{Dist}(S'_1)$  for which  $L'_1(s'_1, a, \mu_1) = \top$  and for all  $s_2 \in S_2$ ,  $\varepsilon < 1$  with  $\varepsilon := d(s'_1, s_2) < 1$ : We must have  $\varphi_2 \in \text{Dist}(S_2)$  such that  $L_2(s_2, a, \varphi_2) \neq \perp$  and

$$\inf_{\delta \in \text{RD}(\mu_1, \varphi_2)} \sum_{(t'_1, t_2) \in S'_1 \times S_2} \mu_1(t'_1) \delta(t'_1, t_2) d(t'_1, t_2) \leq \lambda^{-1} \varepsilon,$$

so there must exist a redistribution  $\delta \in \text{RD}(\mu_1, \varphi_2)$  for which

$$\sum_{(t'_1, t_2) \in S'_1 \times S_2} \mu_1(t'_1) \delta(t'_1, t_2) d(t'_1, t_2) \leq \lambda^{-1} \varepsilon + \lambda^{-1} \eta.$$

We let  $\mu_2(s) = \sum_{s'_1 \in S'_1} \mu_1(s'_1) \delta(s'_1, s)$  and set  $L'_2(s_2, a, \mu_2) = \top$  in  $P_2$ .

Similarly, for all  $s_2 \in S_2$ ,  $a \in A$ ,  $\varphi_2 \in C(S_2)$  for which  $L_2(s_2, a, \varphi_2) = \top$  and for all  $s'_1 \in S'_1$  with  $\varepsilon := d(s'_1, s_2) < 1$ : We must have  $\mu_1 \in \text{Dist}(S'_1)$  for which  $L'_1(s'_1, a, \mu_1) = \top$  and

$$\inf_{\delta \in \text{RD}(\mu_1, \varphi_2)} \sum_{(t'_1, t_2) \in S'_1 \times S_2} \mu_1(t'_1) \delta(t'_1, t_2) d(t'_1, t_2) \leq \lambda^{-1} \varepsilon,$$

so there is  $\delta \in \text{RD}(\mu_1, \varphi_2)$  with

$$\sum_{(t'_1, t_2) \in S'_1 \times S_2} \mu_1(t'_1) \delta(t'_1, t_2) d(t'_1, t_2) \leq \lambda^{-1} \varepsilon + \lambda^{-1} \eta.$$

Let again  $\mu_2(s) = \sum_{s'_1 \in S'_1} \mu_1(s'_1) \delta(s'_1, s)$ , and set  $L'_2(s_2, a, \mu_2) = \top$  in  $P_2$ .

It is easy to see that  $P_2 \in \llbracket N_2 \rrbracket$ : by construction of  $P_2$ , the identity relation  $\{(s_2, s_2) \mid s_2 \in S_2\}$  provides a refinement  $P_2 \preceq N_2$ . To show that  $d(P_1, P_2) \leq d(N_1, N_2) + \eta$ , we define a function  $d' : S'_1 \times S_2 \rightarrow [0, 1]$  by  $d'(s'_1, s_2) = d(s'_1, s_2) + \eta$  and show that

$d'$  is a pre-fixpoint to (1). Indeed, for  $s'_1$  and  $s_2$  compatible, we have

$$\begin{aligned} d'(s'_1, s_2) &= d(s'_1, s_2) + \eta \\ &= \max \left\{ \begin{array}{l} \max_{a, \mu_1: L'_1(s'_1, a, \mu_1) = \top} \min_{\varphi_2: L_2(s_2, a, \varphi_2) \neq \perp} \lambda D_{P_1, N_2}(\mu_1, \varphi_2, d) + \eta \\ \max_{a, \varphi_2: L_2(s_2, a, \varphi_2) = \top} \min_{\mu_1: L'_1(s'_1, a, \mu_1) = \top} \lambda D_{P_1, N_2}(\mu_1, \varphi_2, d) + \eta \end{array} \right. \\ &= \max \left\{ \begin{array}{l} \max_{a, \mu_1: L'_1(s'_1, a, \mu_1) = \top} \min_{\mu_2: L'_2(s_2, a, \mu_2) = \top} \lambda D_{P_1, P_2}(\mu_1, \mu_2, d) + \eta \\ \max_{a, \mu_2: L'_2(s_2, a, \mu_2) = \top} \min_{\mu_1: L'_1(s'_1, a, \mu_1) = \top} \lambda D_{P_1, P_2}(\mu_1, \mu_2, d) + \eta, \end{array} \right. \end{aligned}$$

due to the construction of  $P_2$  and the fact that the  $\sup_{\mu_1 \in \text{Sat}(\mu_1)}$  is trivial in the formula for  $D_{P_1, N_2}(\mu_1, \varphi_2, d)$ ,

$$\geq \max \left\{ \begin{array}{l} \max_{a, \mu_1: L'_1(s'_1, a, \mu_1) = \top} \min_{\mu_2: L'_2(s_2, a, \mu_2) = \top} \lambda D_{P_1, P_2}(\mu_1, \mu_2, d') \\ \max_{a, \mu_2: L'_2(s_2, a, \mu_2) = \top} \min_{\mu_1: L'_1(s'_1, a, \mu_1) = \top} \lambda D_{P_1, P_2}(\mu_1, \mu_2, d'), \end{array} \right.$$

where the last inequality is a consequence of

$$\begin{aligned} \lambda D_{P_1, P_2}(\mu_1, \mu_2, d') &= \lambda \sum_{t'_1, t_2} \mu_1(t'_1) \delta(t'_1, t_2) (d(t'_1, t_2) + \eta) \\ &= \lambda \sum_{t'_1, t_2} \mu_1(t'_1) \delta(t'_1, t_2) d(t'_1, t_2) + \lambda \eta. \end{aligned}$$

□

### Proof of Theorem 1

For all deterministic APAs  $N_1$  and  $N_2$  in SVNf such that  $N_1 \not\preceq N_2$ , we have  $\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket \subseteq \llbracket N_1 \setminus^* N_2 \rrbracket$ .

*Proof.* Let  $N_1 = (S_1, A, L_1, AP, V_1, \{s_0^1\})$  and  $N_2 = (S_2, A, L_2, AP, V_2, \{s_0^2\})$  be deterministic APAs in single valuation normal form such that  $N_1 \not\preceq N_2$ . Let  $\mathcal{R}$  be the maximal weak refinement relation between  $N_1$  and  $N_2$ . Let  $P = (S_P, A, L_P, AP, V_P, s_0^P)$  be a PA such that  $P \models N_1$  and  $P \not\models N_2$ . We prove that  $P \models N_1 \setminus^* N_2$ . Let  $\mathcal{R}_1 \subseteq S_P \times S_1$  be the relation witnessing  $P \models N_1$  and let  $\mathcal{R}_2$  be the maximal satisfaction relation in  $S_P \times S_2$ . By construction,  $(s_0^P, s_2) \notin \mathcal{R}_2$ .

If  $V_1(s_0^1) \neq V_2(s_0^2)$ , then by construction  $N_1 \setminus^* N_2 = N_1$  and thus  $P \models N_1 \setminus^* N_2$ .

Else, we have  $(s_0^1, s_0^2)$  in case 3, thus  $N_1 \setminus^* N_2 = (S, A, L, AP, V, S_0)$  is defined as in Section 4.1. By construction, we also have  $(s_0^P, s_0^2)$  in case 3, thus there must exist  $f \in B(s_0^P, s_0^2)$ . Remark that by construction, we must have  $B(s_0^P, s_0^2) \subseteq B(s_0^1, s_0^2)$ . We will prove that  $P \models N_1 \setminus^* N_2$ .

Define the following relation  $\mathcal{R}^* \subseteq S_P \times S$ :



$$p \mathcal{R}^\backslash(s_1, s_2, e) \iff \begin{cases} (p \mathcal{R}_1 s_1) \text{ and } (s_2 = \perp) \text{ and } (e = \varepsilon) \\ \text{or } (p \mathcal{R}_1 s_1) \text{ and } (p, s_2) \text{ in case 1 or 2 and } (e = \varepsilon) \\ \text{or } (p \mathcal{R}_1 s_1) \text{ and } (p, s_2) \text{ in case 3 and } (e \in B(p, s_2)) \end{cases}$$

We now prove that  $\mathcal{R}^\backslash$  is a satisfaction relation. Let  $(p, (s_1, s_2, e)) \in \mathcal{R}^\backslash$ .

If  $s_2 = \perp$  or  $e = \varepsilon$ , then since  $p \mathcal{R}_1 s_1$ ,  $\mathcal{R}^\backslash$  satisfies the axioms of a satisfaction relation by construction.

Else we have  $s_2 \in S_2$  and  $e \neq \varepsilon$ , thus, by definition of  $\mathcal{R}^\backslash$ , we know that  $(p, s_2)$  is in case 3.

- By construction, we have  $V_P(p) \in V_1(s_1) = V((s_1, s_2, e))$ .
- Let  $a \in A$  and  $\mu_P \in \text{Dist}(S_P)$  such that  $L_P(p, a, \mu_P) = \top$ . There are several cases.
  - If  $a \neq e$ , then since  $p \mathcal{R}_1 s_1$ , there exists  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, a, \varphi_1) \neq \perp$  and there exists  $\mu_1 \in \text{Sat}(\varphi_1)$  such that  $\mu_P \subseteq_{\mathcal{R}^\backslash} \mu_1$ . By construction, we have  $L((s_1, s_2, e), a, \varphi_1^\perp) \neq \perp$  and there obviously exists  $\mu \in \text{Sat}(\varphi_1^\perp)$  such that  $\mu_P \subseteq_{\mathcal{R}^\backslash} \mu$ .
  - If  $a = e \in B_a(p, s_2)$ , then, as above, there exists  $\varphi \in C(S)$  such that  $L((s_1, s_2, e), a, \varphi) \neq \perp$  and there exists  $\mu \in \text{Sat}(\varphi)$  such that  $\mu_P \subseteq_{\mathcal{R}^\backslash} \mu$ . Remark that  $B_a(s_1, s_2) \subseteq B_a(p, s_2) \subseteq B_a(s_1, s_2) \cup B_b(s_1, s_2)$ .
  - Else, we necessarily have  $a = e \in B_c(p, s_2) \cup B_f(p, s_2)$ . Remark that, by construction,  $B_c(p, s_2) \subseteq B_c(s_1, s_2)$  and  $B_f(p, s_2) \subseteq B_f(s_1, s_2)$ . Since  $p \mathcal{R}_1 s_1$ , there exists  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, e, \varphi_1) \neq \perp$  and there exists  $\mu_1 \in \text{Sat}(\varphi_1)$  and a correspondence function  $\delta_1 : S_P \rightarrow (S_1 \rightarrow [0, 1])$  such that  $\mu_P \subseteq_{\mathcal{R}_1}^{\delta_1} \mu_1$ .

Moreover, by construction of  $N_1 \setminus^* N_2$ , we know that the constraint  $\varphi_{12}^B$  such that  $\mu \in \text{Sat}(\varphi_{12}^B)$  iff. (1) for all  $(s'_1, s'_2, c) \in S$ , we have  $\mu(s'_1, s'_2, c) > 0 \Rightarrow s'_2 = \perp$  if  $\text{succ}_{s_2, e}(s'_1) = \emptyset$  and  $s'_2 = \text{succ}_{s_2, e}(s'_1)$  otherwise, and  $c \in B(s'_1, s'_2) \cup \{\varepsilon\}$ , (2) the distribution  $\mu_1 : s'_1 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_2 \in S_2 \cup \{\perp\}} \mu(s'_1, s'_2, c)$  satisfies  $\varphi_1$ , and (3) either (b) the distribution  $\mu_2 : s'_2 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_1 \in S_1} \mu(s'_1, s'_2, c)$  does not satisfy  $\varphi_2$ , or (c) there exists  $s'_1 \in S_1, s'_2 \in S_2$  and  $c \neq \varepsilon$  such that  $\mu(s'_1, s'_2, c) > 0$  is such that  $L((s_1, s_2, e), e, \varphi_{12}^B) = \top$ .

We now prove that there exists  $\mu \in \text{Sat}(\varphi_{12}^B)$  such that  $\mu_P \subseteq_{\mathcal{R}^\backslash} \mu$ . Consider the function  $\delta^\backslash : S_P \rightarrow (S \rightarrow [0, 1])$  defined as follows: Let  $p' \in S_P$  such that  $\mu_P(p') > 0$  and let  $s'_1 = \text{succ}_{s_1, e}(p')$ , which exists by  $\mathcal{R}_1$ .

- \* If  $\text{succ}_{s_2, e}(p') = \emptyset$ , then  $\delta^\backslash(p')(s'_1, \perp, \varepsilon) = 1$ .
- \* Else, let  $s'_2 = \text{succ}_{s_2, e}(p')$ . Then,
  - if  $(p', s'_2) \in \mathcal{R}_2$ , then  $\delta^\backslash(p')(s'_1, s'_2, \varepsilon) = 1$ .
  - Else,  $(p', s'_2)$  is in case 3 and  $B(p', s'_2) \neq \emptyset$ . In this case, let  $c \in B(p', s'_2)$  and define  $\delta^\backslash(p', (s'_1, s'_2, c)) = 1$ . For all other  $c' \in B(p', s'_2)$ , define  $\delta^\backslash(p', (s'_1, s'_2, c')) = 0$ .

Remark that for all  $p' \in S_P$  such that  $\mu_P(p') > 0$ , there exists a unique  $s' \in S'$  such that  $\delta^\backslash(p')(s') = 1$ . Thus  $\delta^\backslash$  is a correspondence function.

We now prove that  $\mu = \mu_P \delta^\backslash \in \text{Sat}(\varphi_{12}^B)$ .

1. Let  $(s'_1, s'_2, c) \in S$  such that  $\mu(s'_1, s'_2, c) > 0$ . By construction, there exists  $p' \in S_P$  such that  $\mu_P(p') > 0$  and  $\delta \setminus (p')(s'_1, s'_2, c) > 0$ . Moreover,  $c \in B(s'_1, s'_2) \cup \{\varepsilon\}$ , and  $s'_2 = \perp$  if  $\text{succ}_{s_2, e}(s'_1) = \emptyset$  and  $s'_2 = \text{succ}_{s_2, e}(s'_1)$  otherwise.
2. Consider the distribution  $\mu'_1 : s'_1 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_2 \in S_2 \cup \{\perp\}} \mu(s'_1, s'_2, c)$ . By determinism (See Lemma 28 in [8]), we have that  $\delta_1(p')(s'_1) = 1 \iff s'_1 = (\text{succ})_{s_1, e}(p')$ . As a consequence, we have that  $\mu'_1 = \mu_1 \in \text{Sat}(\varphi_1)$ .
3. Assume that for all  $p' \in S_P$  such that  $\mu_P(p') > 0$ , we have  $\text{succ}_{s_2, e}(p') \neq \emptyset$  (the other case being trivial). Consider the distribution  $\mu_2 : s'_2 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_1 \in S_1} \mu(s'_1, s'_2, c)$  and let  $\delta_2 : S_P \rightarrow (S_2 \rightarrow [0, 1])$  be such that  $\delta_2(p')(s'_2) = 1 \iff s'_2 = \text{succ}_{s_2, e}(p')$ . By construction,  $\delta_2$  is a correspondence function and  $\mu_2 = \mu_P \delta_2$ . Since  $e \in B_c(p, s_2) \cup B_f(p, s_2)$ , we have that  $\mu_P \notin_{\mathcal{R}_2} \mu_2$ . If  $\mu_2 \notin \text{Sat}(\varphi_2)$ , then we have  $\mu \in \text{Sat}(\varphi_{12}^B)$ . Else, there must exist  $p' \in S_P$  and  $s'_2 \in S_2$  such that  $\mu_P(p') > 0$ ,  $\delta_2(p')(s'_2) > 0$  and  $(p', s'_2) \notin \mathcal{R}_2$ . As a consequence,  $(p', s'_2)$  is in case 3 and there exists  $c \neq \varepsilon$  such that  $\delta \setminus (p')(s'_1, s'_2, c) > 0$ , thus  $\mu(s'_1, s'_2, c) > 0$ . As a consequence,  $\mu \in \text{Sat}(\varphi_{12}^B)$ .

We thus conclude that there exists  $\mu \in \text{Sat}(\varphi_{12}^B)$  such that  $\mu_P \in_{\mathcal{R} \setminus} \mu$ .

Finally, in all cases, there exists  $\varphi \in C(S)$  such that  $L((s_1, s_2, e), a, \varphi) \neq \perp$  and there exists  $\mu \in \text{Sat}(\varphi)$  such that  $\mu_P \in_{\mathcal{R} \setminus} \mu$ .

- Let  $a \in A$  and  $\varphi \in C(S)$  such that  $L((s_1, s_2, e), a, \varphi) = \top$ . As above, there are several cases.
  - If  $a \neq e$ , then, by construction of  $N_1 \setminus^* N_2$ , there must exist  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, a, \varphi_1) = \top$ . The rest of the proof is then as above.
  - If  $a = e \in B_a(p, s_2)$ , then there exists  $\mu_P \in \text{Dist}(S_P)$  such that  $L_P(p, e, \mu_P) = \top$ . The rest of the proof is then as above. Recall that  $B_a(s_1, s_2) \subseteq B_a(p, s_2) \subseteq B_a(s_1, s_2) \cup B_b(s_1, s_2)$ .
  - Else, we necessarily have  $a = e \in B_c(p, s_2) \cup B_f(p, s_2)$ . Recall that, by construction,  $B_c(p, s_2) \subseteq B_c(s_1, s_2)$  and  $B_f(p, s_2) \subseteq B_f(s_1, s_2)$ . Thus, there exists  $\mu_P \in \text{Dist}(S_P)$  and  $\varphi_2 \in C(S_2)$  such that  $L_2(s_2, e, \varphi_2) \neq \perp$  and  $\forall \mu_2 \in \text{Sat}(\varphi_2), \mu_P \notin_{\mathcal{R}_2} \mu_2$ . Since  $e \in B_c(s_1, s_2) \cup B_f(s_1, s_2)$ , there also exist  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, e, \varphi_1) \neq \perp$ . By determinism,  $\varphi_1$  and  $\varphi_2$  are unique. The rest of the proof follows as above.

Thus, in all cases, there exists  $\mu_P \in \text{Dist}(S_P)$  such that  $L_P(p, a, \mu_P) = \top$  and there exists  $\mu \in \text{Sat}(\varphi)$  such that  $\mu_P \in_{\mathcal{R} \setminus} \mu$ .

Finally,  $\mathcal{R} \setminus$  is a satisfaction relation. Moreover, we have  $s_0^P \mathcal{R}_1 s_0^1, (s_0^P, s_0^2)$  in case 3 and  $f \in B(s_0^P, s_0^2)$  by construction, thus  $s_0^P \mathcal{R} \setminus (s_0^1, s_0^2, f) \in S_0$ .

We thus conclude that  $P \models N_1 \setminus^* N_2$ .

□

## Proof of Theorem 2

For all deterministic APAs  $N_1$  and  $N_2$  in SVNf such that  $N_1 \not\preceq N_2$ , we have that

1. for all  $K \in \mathbb{N}$ ,  $\llbracket N_1 \setminus^K N_2 \rrbracket \subseteq \llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$ , and

2. for all PA  $P \in \llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$ , there exists  $K \in \mathbb{N}$  such that  $P \in \llbracket N_1 \setminus^K N_2 \rrbracket$ .

*Proof.* For the first claim, consider the relation  $\mathcal{R} \subseteq (S_1 \times (S_2 \cup \{\perp\}) \times (A \cup \{\varepsilon\}) \times \{1, \dots, K\}) \times (S_1 \times (S_2 \cup \{\perp\}) \times (A \cup \{\varepsilon\}) \times \{1, \dots, K+1\})$  such that  $\mathcal{R} = \{((s_0^1, s_0^2, e, K), (s_0^1, s_0^2, e, K+1)) \mid e \in B(s_0^1, s_0^2)\} \cup \mathcal{R}_{\text{id}}$ , where  $\mathcal{R}_{\text{id}}$  denotes the identity relation. One can verify that, by construction,  $\mathcal{R}$  is a refinement relation witnessing  $N_1 \setminus^K N_2 \preceq N_1 \setminus^{K+1} N_2$ .

Let  $N_1 = (S_1, A, L_1, AP, V_1, \{s_0^1\})$  and  $N_2 = (S_2, A, L_2, AP, V_2, \{s_0^2\})$  be deterministic APAs in single valuation normal form such that  $N_1 \not\preceq N_2$ . Let  $\mathcal{R}$  be the maximal weak refinement relation between  $N_1$  and  $N_2$ .

**1.** We first prove that for all  $K \in \mathbb{N}$ ,  $\llbracket N_1 \setminus^K N_2 \rrbracket \subseteq \llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$ .

If  $V_1(s_0^1) \neq V_2(s_0^2)$ , then for all  $K \in \mathbb{N}$ , we have  $N_1 \setminus^K N_2 = N_1$  and the result holds. Otherwise, assume that  $(s_0^1, s_0^2)$  is in case 3 and let  $K \in \mathbb{N}$ . We have  $N_1 \setminus^K N_2 = (S, A, L, AP, V, S_0^K)$  defined as in Section 4.2. Let  $P = (S_P, A, L_P, AP, V_P, s_0^P)$  be a PA such that  $P \models N_1 \setminus^K N_2$ . Let  $\mathcal{R} \subseteq S_P \times S$  be the associated satisfaction relation and let  $f \in B(s_0^1, s_0^2)$  be such that  $s_0^P \mathcal{R} (s_0^1, s_0^2, f, K)$ . We show that  $P \models N_1$  and  $P \not\models N_2$ .

We start by proving that  $P \models N_1$ . Consider the relation  $\mathcal{R}_1 \subseteq S_P \times S_1$  such that  $p \mathcal{R}_1 s_1 \iff \exists s_2 \in (S_2 \cup \{\perp\}), \exists e \in (A \cup \{\varepsilon\}), \exists n \leq K$  s.t.  $p \mathcal{R} (s_1, s_2, e, n)$ . We prove that  $\mathcal{R}_1$  is a satisfaction relation. Let  $p, s_1, s_2, e, n$  such that  $p \mathcal{R}_1 s_1$  and  $p \mathcal{R} (s_1, s_2, e, n)$ .

- By construction, we have  $V_P(p) \in V((s_1, s_2, e, n)) = V_1(s_1)$ .
- Let  $a \in A$  and  $\mu_P \in \text{Dist}(S_P)$  be such that  $L_P(p, a, \mu_P) = \top$ . By  $\mathcal{R} \setminus$ , there exists  $\varphi \in C(S)$  such that  $L((s_1, s_2, e, n), a, \varphi) \neq \perp$  and there exists  $\mu \in \text{Sat}(\varphi)$  such that  $\mu_P \subseteq_{\mathcal{R} \setminus} \mu$ .

If  $s_2 = \perp$  or  $e = \varepsilon$  or  $a \neq e$ , then by construction of  $N_1 \setminus^K N_2$ , there exists  $\varphi_1 \in C(S_1)$  such that  $\varphi = \varphi_1^\perp$  and  $L_1(s_1, a, \varphi_1) \neq \perp$ . As a consequence, the distribution  $\mu \downarrow_1: s'_1 \mapsto \mu(s'_1, \perp, \varepsilon, 1)$  is in  $\text{Sat}(\varphi_1)$  and it follows that  $\mu_P \subseteq_{\mathcal{R}_1} \mu \downarrow_1$ .

Otherwise, assume that  $s_2 \in S_2$ ,  $e \in A$  and  $a = e$ . There are several cases.

- If  $e \in B_a(s_1, s_2) \cup B_b(s_1, s_2)$ , then by construction of  $N_1 \setminus^K N_2$ , there exists  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, e, \varphi_1) \neq \perp$  and  $\varphi = \varphi_1^\perp$ . As above, we thus have  $\mu_P \subseteq_{\mathcal{R}_1} \mu \downarrow_1$ .
- Else, if  $e \in B_e(s_1, s_2)$ , then there exists  $\varphi_1 \in C(S_1)$  and  $\varphi_2 \in C(S_2)$  such that  $L_1(s_1, e, \varphi_1) = ?$  and  $L_2(s_2, e, \varphi_2) = \top$ . Moreover,  $\varphi$  is of the form  $\varphi_{12}^B$ , and  $\mu' \in \text{Sat}(\varphi_{12}^B)$  implies that the distribution  $\mu'_1$  such that  $\mu'_1: s'_1 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_2 \in S_2 \cup \{\perp\}, k' \geq 1} \mu(s'_1, s'_2, c, k')$  satisfies  $\varphi_1$ . Thus, the distribution  $\mu_1: s'_1 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_2 \in S_2 \cup \{\perp\}, k' \geq 1} \mu(s'_1, s'_2, c, k')$  satisfies  $\varphi_1$ . Let  $\delta_1: S_P \rightarrow (S_1 \rightarrow [0, 1])$  be such that  $\delta_1(p')(s'_1) = 1$  if  $\mu_P(p') > 0$  and  $s'_1 = \text{succ}_{s_1, e}(p')$  and 0 otherwise. By construction,  $\delta_1$  is a correspondence function and we have  $\mu_P \delta_1 = \mu_1$ .

Thus there exists  $\mu_1 \in \text{Sat}(\varphi_1)$  such that  $\mu_P \subseteq_{\mathcal{R}_1} \mu_1$ .

- Finally, if  $e \in B_c(s_1, s_2) \cup B_f(s_1, s_2)$ , then there exists  $\varphi_1 \in C(S_1)$  such that  $L(s_1, e, \varphi_1) \neq \perp$ , and either  $\varphi = \varphi_1^\perp$  or  $\varphi = \varphi_{12}^B$  as in the case above. In both cases, as proven before, there exists  $\mu_1 \in \text{Sat}(\varphi_1)$  such that  $\mu_P \subseteq_{\mathcal{R}_1} \mu_1$ .

- Let  $a \in A$  and  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, a, \varphi_1) = \top$ .  
 If  $s_2 = \perp$  or  $e = \varepsilon$  or  $a \neq e$ , then by construction of  $N_1 \setminus^K N_2$ , the constraint  $\varphi_1^\perp$  is such that  $L((s_1, s_2, e, n), a, \varphi_1^\perp) = \top$ . As a consequence, there exists a distribution  $\mu_P \in \text{Dist}(S_P)$  such that  $L_P(p, a, \mu_P) = \top$  and there exists  $\mu \in \text{Sat}(\varphi_1^\perp)$  such that  $\mu_P \subseteq_{\mathcal{R}} \mu$ . Moreover, by construction of  $\varphi_1^\perp$ , the distribution  $\mu \downarrow_1: s'_1 \mapsto \mu(s'_1, \perp, \varepsilon, 1)$  is in  $\text{Sat}(\varphi_1)$  and it follows that  $\mu_P \subseteq_{\mathcal{R}_1} \mu \downarrow_1$ .  
 Otherwise, assume that  $s_2 \in S_2$ ,  $e \in A$  and  $a = e$ . Since  $L_1(s_1, a, \varphi_1) = \top$ ,  $(s_1, s_2)$  can only be in cases 3.a, 3.c or 3.f. As a consequence,  $e \in B_a(s_1, s_2) \cup B_c(s_1, s_2) \cup B_f(s_1, s_2)$ . By construction, in all of these cases, we have  $L((s_1, s_2, e, n), a, \varphi_1^\perp) = \top$ . Thus, there exists a distribution  $\mu_P \in \text{Dist}(S_P)$  such that  $L_P(p, a, \mu_P) = \top$  and there exists  $\mu \in \text{Sat}(\varphi_1^\perp)$  such that  $\mu_P \subseteq_{\mathcal{R}} \mu$ . As above, it follows that  $\mu_P \subseteq_{\mathcal{R}_1} \mu \downarrow_1$ .

Finally,  $\mathcal{R}_1$  is a satisfaction relation. Moreover, by hypothesis, we have  $s_0^P \mathcal{R} \setminus (s_0^1, s_0^2, f, K)$ , thus  $s_0^P \mathcal{R}_1 s_0^1$  and  $P \models N_1$ .

We now prove that  $P \not\models N_2$ . Assume the contrary and let  $\mathcal{R}_2 \subseteq S_P \times S_2$  be the smallest satisfaction relation witnessing  $P \models N_2$  (i.e. containing only reachable states). We prove the following by induction on the value of  $n$ , for  $1 \leq n \leq K$ :  $\forall p \in S_P, s_2 \in S_2$ , if there exists  $s_1 \in S_1$  and  $e \in A$  such that  $p \mathcal{R} \setminus (s_1, s_2, e, n)$ , then  $(p, s_2) \notin \mathcal{R}_2$ .

- **Base Case** ( $n = 1$ ). Let  $p, s_1, s_2, e$  such that  $p \mathcal{R} \setminus (s_1, s_2, e, 1)$ . If  $e \in B_a(s_1, s_2) \cup B_b(s_1, s_2) \cup B_d(s_1, s_2)$ , then by construction there is an  $e$  transition in either  $P$  or  $N_2$  that cannot be matched by the other. Thus  $(p, s_2) \notin \mathcal{R}_2$ . The same is verified if  $e \in B_e(s_1, s_2)$  and there is no distribution  $\mu_P \in \text{Dist}(S_P)$  such that  $L_P(p, e, \mu_P) = \top$ . Else,  $e \in B_e(s_1, s_2) \cup B_c(s_1, s_2) \cup B_f(s_1, s_2)$  and there exists  $\mu_P \in \text{Dist}(S_P)$  such that  $L_P(p, e, \mu_P) = \top$ . Let  $\varphi_1 \in C(S_1)$  and  $\varphi_2 \in C(S_2)$  be the corresponding constraints in  $N_1$  and  $N_2$ . Consider the corresponding constraint  $\varphi_{12}^{B,1} \in C(S)$ . By  $\mathcal{R} \setminus$ , there exists  $\mu \in \text{Sat}(\varphi_{12}^{B,1})$  such that  $\mu_P \subseteq_{\mathcal{R}} \mu$ . By construction of  $\varphi_{12}^{B,1}$ , we know that either (3.a) there exists  $(s'_1, \perp, \varepsilon, 1)$  such that  $\mu(s'_1, \perp, \varepsilon, 1) > 0$  or (3.b) the distribution  $\mu_2: s'_2 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_1 \in S_1, k' \geq 1} \mu(s'_1, s'_2, c, k')$  does not satisfy  $\varphi_2$ . If there exists  $(s'_1, \perp, \varepsilon, 1)$  such that  $\mu(s'_1, \perp, \varepsilon, 1) > 0$ , then there exists  $p' \in S_P$  such that  $\mu_P(p') > 0$  and  $\text{succ}_{s_2, e}(p') = \emptyset$ . Thus there cannot exist  $\mu'_2 \in \text{Sat}(\varphi_2)$  such that  $\mu_P \subseteq_{\mathcal{R}_2} \mu'_2$ . Otherwise, by determinism of  $N_2$ , we know that the only possible correspondence function for  $\mu_P$  and  $\mathcal{R}_2$  is  $\delta_2: S_P \rightarrow (S_2 \rightarrow [0, 1])$  such that  $\delta_2(p')(s'_2) = 1$  if  $s'_2 = \text{succ}_{s_2, e}(p')$  and 0 otherwise. By construction, we have  $\mu_P \delta_2 = \mu_2$  and thus there is no distribution  $\mu'_2 \in \text{Sat}(\varphi_2)$  such that  $\mu_P \subseteq_{\mathcal{R}_2} \mu'_2$ . Consequently,  $(p, s_2) \notin \mathcal{R}_2$ .
- **Induction.** Let  $1 < n \leq K$  and assume that for all  $k < n$ , for all  $p' \in S_P, s'_2 \in S_2$ , whenever there exists  $s'_1 \in S_1$  and  $e \in A$  such that  $p' \mathcal{R} \setminus (s'_1, s'_2, e, k)$ , we have  $(p', s'_2) \notin \mathcal{R}_2$ . Let  $p, s_1, s_2, e$  such that  $p \mathcal{R} \setminus (s_1, s_2, e, n)$ . If  $e \in B_a(s_1, s_2) \cup B_b(s_1, s_2) \cup B_d(s_1, s_2)$ , then by construction there is an  $e$  transition in either  $P$  or  $N_2$  that cannot be matched by the other. Thus  $(p, s_2) \notin \mathcal{R}_2$ . The same is verified if  $e \in B_e(s_1, s_2)$  and there is no distribution  $\mu_P \in \text{Dist}(S_P)$  such that  $L_P(p, e, \mu_P) = \top$ . Else,  $e \in B_e(s_1, s_2) \cup B_c(s_1, s_2) \cup B_f(s_1, s_2)$  and there exists

$\mu_P \in \text{Dist}(S_P)$  such that  $L_P(p, e, \mu_P) = \top$ . Let  $\varphi_1 \in C(S_1)$  and  $\varphi_2 \in C(S_2)$  be the corresponding constraints in  $N_1$  and  $N_2$ .

Consider the corresponding constraint  $\varphi_{12}^{B,n} \in C(S)$ . By  $\mathcal{R}^\setminus$ , there exists  $\mu \in \text{Sat}(\varphi_{12}^{B,n})$  such that  $\mu_P \subseteq_{\mathcal{R}^\setminus} \mu$ . By construction of  $\varphi_{12}^{B,n}$ , we know that either (3.a) there exists  $(s'_1, \perp, c, 1)$  such that  $\mu(s'_1, \perp, c, 1) > 0$  or (3.b) the distribution  $\mu_2 : s'_2 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_1 \in S_1, k' \geq 1} \mu(s'_1, s'_2, c, k')$  does not satisfy  $\varphi_2$ , or (3.c) there exists  $s'_1 \in S_1, s'_2 \in S_2, c \neq \varepsilon$  and  $k < n$  such that  $\mu(s'_1, s'_2, c, k) > 0$ . If case (3.a) or (3.b) holds, then as in the base case, there is no distribution  $\mu'_2 \in \text{Sat}(\varphi_2)$  such that  $\mu_P \subseteq_{\mathcal{R}_2} \mu'_2$ . Otherwise, if (3.c) holds, then there exists  $p' \in S_P$  such that  $\mu_P(p') > 0$  and  $p' \mathcal{R}^\setminus(s'_1, s'_2, c, k)$ . By induction, we thus know that  $(p', s'_2) \notin \mathcal{R}_2$  and by construction and determinism of  $N_2$ , we have that  $\text{succ}_{s_2, e}(p') = \{s'_2\}$ . Thus there is no distribution  $\mu'_2 \in \text{Sat}(\varphi_2)$  such that  $\mu_P \subseteq_{\mathcal{R}_2} \mu'_2$ . Consequently,  $(p, s_2) \notin \mathcal{R}_2$ .

By hypothesis, we have  $s_0^P \mathcal{R}^\setminus(s_0^1, s_0^2, f, K)$ . As a consequence, we have that  $(s_0^P, s_0^2) \notin \mathcal{R}_2$ , implying that  $P \not\models N_2$ .

**2.** We now prove that for all PA  $P \in \llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$ , there exists  $K \in \mathbb{N}$  such that  $P \in \llbracket N_1 \setminus^K N_2 \rrbracket$ .

If  $V_1(s_0^1) \neq V_2(s_0^2)$ , then for all  $K \in \mathbb{N}$ , we have  $N_1 \setminus^K N_2 = N_1$  and the result holds.

Otherwise, assume that  $(s_0^1, s_0^2)$  is in case 3. Let  $P = (S_P, A, L_P, AP, V_P, s_0^P)$  be a PA such that  $P \models N_1$  and  $P \not\models N_2$ . Let  $\mathcal{R}_1$  be the satisfaction relation witnessing  $P \models N_1$  and  $\mathcal{R}_2$  be the maximal satisfaction relation between  $P$  and  $N_2$ . Assume that  $\mathcal{R}_2$  is computed as described in Section 5. Let  $\text{Ind}_{\mathcal{R}_2}$  be the associated index function and let  $K$  be the minimal index such that  $\mathcal{R}_{2K} = \mathcal{R}_2$ . We show that  $P \models N_1 \setminus^K N_2$ . Let  $N_1 \setminus^K N_2 = (S, A, L, AP, V, S_0)$  be defined as in Section 4.2.

Let  $\mathcal{R}^\setminus \subseteq S_P \times S_2$  be the relation such that

$$p \mathcal{R}^\setminus(s_1, s_2, e, k) \iff \begin{cases} (p \mathcal{R}_1 s_1) \text{ and } (s_2 = \perp) \text{ and } (e = \varepsilon) \text{ and } (k = 1) \\ \text{or } \begin{cases} (p \mathcal{R}_1 s_1) \text{ and } (p, s_2) \text{ in case 1 or 2 and } (e = \varepsilon) \\ \text{and } (k = 1) \end{cases} \\ \text{or } \begin{cases} (p \mathcal{R}_1 s_1) \text{ and } (p, s_2) \text{ in case 3 and } (e \in \text{Break}(p, s_2)) \\ \text{and } (k = \text{Ind}_{\mathcal{R}_2}(p, s_2) + 1) \end{cases} \end{cases}$$

Remark that whenever  $(p, s_2)$  is in case 3, we know that  $\text{Ind}_{\mathcal{R}_2}(p, s_2) < K$ , thus  $\text{Ind}_{\mathcal{R}_2}(p, s_2) + 1 \leq K$ .

We prove that  $\mathcal{R}^\setminus$  is a satisfaction relation. Let  $p \mathcal{R}^\setminus(s_1, s_2, e, k)$ .

If  $s_2 = \perp$  or  $e = \varepsilon$ , then since  $p \mathcal{R}_1 s_1$ ,  $\mathcal{R}^\setminus$  satisfies the axioms of a satisfaction relation by construction.

Else we have  $s_2 \in S_2$  and  $e \neq \varepsilon$ , thus, by definition of  $\mathcal{R}^\setminus$ , we know that  $(p, s_2)$  is in case 3. The rest of the proof is almost identical to the proof of Theorem 1. In the following, we report to this proof and only highlight the differences.

- By construction, we have  $V_P(p) \in V_1(s_1) = V((s_1, s_2, e, k))$ .
- Let  $a \in A$  and  $\mu_P \in \text{Dist}(S_P)$  such that  $L_P(p, a, \mu_P) = \top$ . There are several cases.

- If  $a \neq e$ , or  $a = e \in B_a(p, s_2)$ , the proof is identical to the proof of Theorem 1.
- Else, we necessarily have  $a = e \in B_c(p, s_2) \cup B_f(p, s_2)$ . Remark that, by construction,  $B_c(p, s_2) \subseteq B_c(s_1, s_2)$  and  $B_f(p, s_2) \subseteq B_f(s_1, s_2)$ . Since  $p \mathcal{R}_1 s_1$ , there exists  $\varphi_1 \in C(S_1)$  such that  $L_1(s_1, e, \varphi_1) \neq \perp$  and there exists  $\mu_1 \in \text{Sat}(\varphi_1)$  and a correspondence function  $\delta_1 : S_P \rightarrow (S_1 \rightarrow [0, 1])$  such that  $\mu_P \in_{\mathcal{R}_1}^{\delta_1} \mu_1$ .

Moreover, by construction of  $N_1 \setminus^K N_2$ , we know that the constraint  $\varphi_{12}^{B,k}$  is such that  $L((s_1, s_2, e, k), e, \varphi_{12}^{B,k}) = \top$ .

We now prove that there exists  $\mu \in \text{Sat}(\varphi_{12}^{B,k})$  such that  $\mu_P \in_{\mathcal{R}} \mu$ . Consider the function  $\delta : S_P \rightarrow (S \rightarrow [0, 1])$  defined as follows: Let  $p' \in S_P$  such that  $\mu_P(p') > 0$  and let  $s'_1 = \text{succ}_{s_1, e}(p')$ , which exists by  $\mathcal{R}_1$ .

- \* If  $\text{succ}_{s_2, e}(p') = \emptyset$ , then  $\delta(p')(s'_1, \perp, \varepsilon, 1) = 1$ .
- \* Else, let  $s'_2 = \text{succ}_{s_2, e}(p')$ . Then,
  - if  $(p', s'_2) \in \mathcal{R}_2$ , then  $\delta(p')(s'_1, s'_2, \varepsilon, 1) = 1$ .
  - Else,  $(p', s'_2)$  is in case 3 and  $\text{Break}(p', s'_2) \neq \emptyset$ . In this case, let  $c \in \text{Break}(p', s'_2)$  and define  $\delta(p')(s'_1, s'_2, c, \text{Ind}_{\mathcal{R}_2}(p', s'_2) + 1) = 1$ . For all other  $c' \in A$  and  $1 \leq k' \leq K$ , define  $\delta(p')(s'_1, s'_2, c', k') = 0$ .

Remark that for all  $p' \in S_P$  such that  $\mu_P(p') > 0$ , there exists a unique  $s' \in S'$  such that  $\delta(p')(s') = 1$ . Thus  $\delta$  is a correspondence function.

We now prove that  $\mu = \mu_P \delta \in \text{Sat}(\varphi_{12}^{B,k})$ .

1. Let  $(s'_1, s'_2, c, k') \in S$  such that  $\mu(s'_1, s'_2, c, k') > 0$ . By construction, there exists  $p' \in S_P$  such that  $\mu_P(p') > 0$  and  $\delta(p')(s'_1, s'_2, c, k') > 0$ . Moreover,  $c \in B(s'_1, s'_2) \cup \{\varepsilon\}$ ,  $s'_2 = \perp$  if  $\text{succ}_{s_2, e}(s'_1) = \emptyset$  and  $s'_2 = \text{succ}_{s_2, e}(s'_1)$  otherwise.
2. Consider distribution  $\mu'_1 : s'_1 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_2 \in S_2 \cup \{\perp\}, k' \geq 1} \mu(s'_1, s'_2, c, k')$ . By determinism (See Lemma 28 in [8]), we have that  $\delta_1(p')(s'_1) = 1 \iff s'_1 = (\text{succ})_{s_1, e}(p')$ . As a consequence, we have that  $\mu'_1 = \mu \delta_1 = \mu_1 \in \text{Sat}(\varphi_1)$ .
3. Depending on  $k$ , there are 2 cases.
  - \* If  $k > 1$ , assume that for all  $p' \in S_P$  such that  $\mu_P(p') > 0$ , we have  $\text{succ}_{s_2, e}(p') \neq \emptyset$  (the other case being trivial). Since  $c \in (B_c(p, s_2) \cup B_f(p, s_2)) \cap \text{Break}(p, s_2)$  by  $\mathcal{R} \setminus$ , we can apply Lemma 1. As a consequence, either (2) the distribution  $\mu'_1$  such that  $\mu'_1 : (s'_2 \mapsto \sum_{p' \in P \mid s'_2 = \text{succ}_{s_2, e}(p')} \mu_P(p'))$  does not satisfy  $\varphi_2$ , or (3) there exists  $p' \in S_P$  and  $s'_2 \in S_2$  such that  $\mu_P(p') > 0$ ,  $s'_2 = \text{succ}_{s_2, e}(p')$  and  $\text{Ind}_{\mathcal{R}_2}(p', s'_2) < \text{Ind}_{\mathcal{R}_2}(p, s_2)$ .

In the first case (2), consider the distribution  $\mu_2$  defined as follows:

$$\mu_2 : s'_2 \mapsto \sum_{c \in A \cup \{\varepsilon\}, s'_1 \in S_1, k' \geq 1} \mu(s'_1, s'_2, c, k').$$

We have the following: for all  $s'_2 \in S_2$ ,

$$\begin{aligned}
\mu_2(s'_2) &= \sum_{c \in A \cup \{\varepsilon\}, s'_1 \in S_1, k' \geq 1} \mu(s'_1, s'_2, c, k') \\
&= \sum_{c \in A \cup \{\varepsilon\}, s'_1 \in S_1, k' \geq 1} \sum_{p' \in S_P} \mu_P(p') \delta(p')((s'_1, s'_2, c, k')) \\
&= \sum_{p' \in S_P} \mu_P(p') \sum_{c \in A \cup \{\varepsilon\}, s'_1 \in S_1, k' \geq 1} \delta(p')((s'_1, s'_2, c, k')) \\
&= \sum_{p' \in S_P \mid s'_2 = \text{succ}_{s_2, e}(p')} \mu_P(p') \delta(p')((\text{succ}_{s_1, e}(p'), s'_2, c, \\
&\quad \text{Ind}_{\mathcal{R}_2}(p', s'_2))) \\
&\quad \text{for } c \in \text{Break}(p', s'_2) \text{ fixed as above} \\
&= \sum_{p' \in S_P \mid s'_2 = \text{succ}_{s_2, e}(p')} \mu_P(p') \\
&= \mu_1^2(s'_2)
\end{aligned}$$

As a consequence,  $\mu_2 \notin \text{Sat}(\varphi_2)$  and  $\mu \in \text{Sat}(\varphi_{12}^{B,k})$ .

In the second case (3), we have  $\delta(p')((s'_1, s'_2, c, k')) > 0$  for  $s'_1 = \text{succ}_{s_1, e}(p')$ ,  $c \in \text{Break}(p', s'_2)$  fixed above, and  $k' = \text{Ind}_{\mathcal{R}_2}(p', s'_2) + 1 < \text{Ind}_{\mathcal{R}_2}(p, s_2) + 1 = k$ . As a consequence, we thus have  $\mu(s'_1, s'_2, c, k') > 0$  for  $k' < k$  and  $c \neq \varepsilon$ , thus  $\mu \in \text{Sat}(\varphi_{12}^{B,k})$ .

\* On the other hand, if  $k = 1$ , then  $\text{Ind}_{\mathcal{R}_2}(p, s_2) = 0$  and either (1) there exists  $p' \in S_P$  such that  $\mu_P(p') > 0$  and  $\text{succ}_{s_2, e}(p') = \emptyset$ , or (2) the distribution  $\mu_1^2$  such that  $\mu_1^2 : (s'_2 \mapsto \sum_{p' \in P \mid s'_2 = \text{succ}_{s_2, e}(p')} \mu_P(p')) \notin \varphi_2$ . In both cases, as above, we can prove that  $\mu \in \text{Sat}(\varphi_{12}^{B,k})$ .

In both cases, we have  $\mu \in \text{Sat}(\varphi_{12}^{B,k})$ .

We thus conclude that there exists  $\mu \in \text{Sat}(\varphi_{12}^{B,k})$  such that  $\mu_P \in_{\mathcal{R}} \mu$ .

– Let  $a \in A$  and  $\varphi \in C(S)$  such that  $L((s_1, s_2, e), a, \varphi) = \top$ . As in the proof of Theorem 1, there are several cases that all boil down to the same arguments as above.

Finally,  $\mathcal{R}^\setminus$  is a satisfaction relation.

Let  $c \in \text{Break}_{\mathcal{R}_2}(s_0^P, s_0^2)$  and consider the relation  $\mathcal{R}^\setminus' = \mathcal{R}^\setminus \cup \{(s_0^P, (s_0^1, s_0^2, c, K))\}$ . Due to the fact that  $K \geq \text{Ind}_{\mathcal{R}_2}(s_0^P, s_0^2)$ , one can verify that the pair  $(s_0^P, (s_0^1, s_0^2, c, K))$  also satisfies the axioms of a satisfaction relation. The proof is identical to the one presented above. As a consequence,  $\mathcal{R}^\setminus'$  is also a satisfaction relation. Moreover, we now have that  $(s_0^P, (s_0^1, s_0^2, c, K)) \in \mathcal{R}^\setminus'$ , with  $(s_0^1, s_0^2, c, K) \in S_0$ , thus  $P \models N_1 \setminus^K N_2$ .  $\square$

### Proof of Proposition 2

Let  $N_1$  and  $N_2$  be two deterministic APAs in SVNF such that  $N_1 \not\preceq N_2$ , and let  $K \in \mathbb{N}$ . Then  $d_t(\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket, \llbracket N_1 \setminus^K N_2 \rrbracket) \leq \lambda^K (1 - \lambda)^{-1}$ .

*Proof.* By Lemma 2, we know that  $d(N_1 \setminus^{L+1} N_2, N_1 \setminus^L N_2) \leq \lambda^L$  for each  $L$ , hence also  $d_t(\llbracket N_1 \setminus^{L+1} N_2 \rrbracket, \llbracket N_1 \setminus^L N_2 \rrbracket) \leq \lambda^L$  for each  $L$  by Proposition 1. Applying the triangle inequality for  $d_t$ , we see that

$$\begin{aligned} d_t(\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket, \llbracket N_1 \setminus^K N_2 \rrbracket) &\leq \sum_{i=0}^{\infty} d_t(\llbracket N_1 \setminus^{K+i+1} N_2 \rrbracket, \llbracket N_1 \setminus^{K+i} N_2 \rrbracket) \\ &\leq \sum_{i=0}^{\infty} \lambda^{K+i} = \frac{\lambda^K}{1-\lambda} \end{aligned}$$

□

### Proof of Theorem 3

Let  $N_1$  and  $N_2$  be two deterministic APAs in SVNf such that  $N_1 \not\leq N_2$ . The following holds:

1. the sequences  $(N_1 \setminus^K N_2)_K$  and  $(\llbracket N_1 \setminus^K N_2 \rrbracket)_K$  both converge,
2.  $\lim_{K \rightarrow \infty} d_t(\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket, \llbracket N_1 \setminus^K N_2 \rrbracket) = 0$ , and
3.  $\lim_{K \rightarrow \infty} d(N_1 \setminus^* N_2, N_1 \setminus^K N_2) = 0$ , so that

*Proof.* Let  $N_1 = (S_1, A, L_1, AP, V_1, \{s_0^1\})$  and  $N_2 = (S_2, A, L_2, AP, V_2, \{s_0^2\})$  be two deterministic APAs in SVNf such that  $N_1 \not\leq N_2$ .

**1.** The proof of the convergence of both sequences  $(N_1 \setminus^K N_2)_K$  and  $(\llbracket N_1 \setminus^K N_2 \rrbracket)_K$  is done as follows. We show in Lemma 2 that the sequence  $(N_1 \setminus^K N_2)_K$  is *bi-Cauchy* (i.e. both forward-Cauchy and backwards-Cauchy) in the sense of [7]).

**Lemma 2.** *Let  $N_1 = (S_1, A, L_1, AP, V_1, \{s_0^1\})$  and  $N_2 = (S_2, A, L_2, AP, V_2, \{s_0^2\})$  be two deterministic APAs in SVNf such that  $N_1 \not\leq N_2$ . Let  $1 \leq K_1 \leq K_2$  be integers. The distance between  $N_1 \setminus^{K_2} N_2$  and  $N_1 \setminus^{K_1} N_2$  is bounded as follows:*

$$d(N_1 \setminus^{K_2} N_2, N_1 \setminus^{K_1} N_2) \leq \lambda^{K_1}.$$

*Proof.* Let  $N_1 \setminus^{K_i} N_2 = N^i = (S^i, A, L^i, AP, V^i, T_0^i)$ . The proof is in several steps.

- We first remark that for all  $(s_1, s_2, e) \in S_1 \times (S_2 \cup \perp) \times (A \cup \varepsilon)$  and for all  $k \leq K_1$ , the distance between State  $(s_1, s_2, e, k)^1 \in S^1$  and  $(s_1, s_2, e, k)^2 \in S^2$  is 0. Indeed, if  $k$  is the same in both states, then they are identical by construction.
- We now prove by induction on  $1 \leq k_1 \leq K_1$  and  $k_1 \leq k_2 \leq K_2$  that  $d((s_1, s_2, e, k_2)^2, (s_1, s_2, e, k_1)^1) \leq \lambda^{k_1}$ .
  - **Base case:**  $k_1 = 1$ . By construction,  $t_1 = (s_1, s_2, e, k_1)^1$  and  $t_2 = (s_1, s_2, e, k_2)^2$  have the same outgoing transitions. The only distinction is in the constraints  $\varphi_{12}^{B,1}$  and  $\varphi_{12}^{B,k_2}$  when  $e \in B_c(s_1, s_2) \cup B_e(s_1, s_2) \cup B_f(s_1, s_2)$ . As a consequence, the states  $t_1$  and  $t_2$  are compatible, thus

$$d(t_2, t_1) = \max \left\{ \begin{array}{l} \max_{a, \varphi' \mid L^2(t_2, a, \varphi') \neq \perp} \left( \min_{\varphi \mid L^1(t_1, a, \varphi) \neq \perp} \lambda D_{N^2, N^1}(\varphi', \varphi, d) \right) \\ \max_{a, \varphi \mid L^1(t_1, a, \varphi) = \top} \left( \min_{\varphi' \mid L^2(t_2, a, \varphi') = \top} \lambda D_{N^2, N^1}(\varphi', \varphi, d) \right) \end{array} \right\}$$



Moreover, we know by construction that  $D_{N^2, N^1}(\varphi', \varphi, d) \leq 1$  for all  $\varphi'$  and  $\varphi$ . As a consequence,  $d(t_2, t_1) \leq \lambda = \lambda^{k_1}$ .

- **Induction.** Let  $t_1 = (s_1, s_2, e, k_1)^1$  and  $t_2 = (s_1, s_2, e, k_2)^2$ , with  $1 < k_1 \leq k_2$ . Again, if  $e \notin B_c(s_1, s_2) \cup B_e(s_1, s_2) \cup B_f(s_1, s_2)$ , then  $t_1$  and  $t_2$  are identical by construction and the result holds. Otherwise, the pair of constraints for which the distance is maximal will be constraints  $\varphi_{12}^{B, k_1} \in C(S^1)$  and  $\varphi_{12}^{B, k_2} \in C(S^2)$ . Assume that  $d((s_1, s_2, e, k_2)^2, (s_1, s_2, e, k_1')^1) \leq \lambda^{k_1'}$  for all  $k_1' < k_1$  and  $k_1' \leq k_2 \leq K_2$ . By definition, we have

$$D_{N^2, N^1}(\varphi_{12}^{B, k_2}, \varphi_{12}^{B, k_1}, d) = \sup_{\mu_2 \in \text{Sat}(\varphi_{12}^{B, k_2})} \left[ \inf_{\delta \in \text{RD}(\mu_2, \varphi_{12}^{B, k_1})} \left( \sum_{t'_2, t'_1 \in S^2 \times S^1} \mu_2(t'_2) \delta(t'_2, t'_1) d(t'_2, t'_1) \right) \right]$$

Consider the function  $\delta : S^2 \times S^1 \rightarrow [0, 1]$  such that

$$\delta((s'_1, s'_2, f, k'_2), (s''_1, s''_2, f', k'_1)) = \begin{cases} 1 & \text{if } s'_1 = s''_1 \wedge s'_2 = s''_2 \wedge f' = f \\ & \wedge k'_1 = k'_2 \wedge k'_2 < k_1 \\ 1 & \text{if } s'_1 = s''_1 \wedge s'_2 = s''_2 \wedge f' = f \\ & \wedge k'_1 = k_1 - 1 \wedge k_1 \leq k'_2 \\ 0 & \text{otherwise} \end{cases}$$

Let  $\mu_2 \in \text{Sat}(\varphi_{12}^{B, k_2})$ . One can verify that  $\delta \in \text{RD}(\mu_2, \varphi_{12}^{B, k_1})$  as follows:

1. Let  $t'_2 = (s'_1, s'_2, f, k'_2)$  be such that  $\mu_2(t'_2) > 0$ . By definition, we always have  $\sum_{t'_1 \in S^1} \delta(t'_2, t'_1) = 1$ .
2.  $\delta$  preserves all the conditions for satisfying  $\varphi_{12}^{B, k_2}$ . In particular, all states  $t'_2 = (s'_1, s'_2, f, k'_2)^2$  such that  $k'_2 < k_2$  are redistributed to states  $(s'_1, s'_2, f, k'_1)^1$  with  $k'_1 < k_1$ . As a consequence, the distribution  $\mu_1 : t'_1 \mapsto \sum_{t'_2 \in S^2} \mu_2(t'_2) \delta(t'_2, t'_1)$  satisfies  $\varphi_{12}^{B, k_1}$ .

As a consequence, for all  $\mu_2 \in \text{Sat}(\varphi_{12}^{B,k_2})$ , we have

$$\begin{aligned}
& \inf_{\delta \in \text{RD}(\mu_2, \varphi_{12}^{B,k_1})} \left( \sum_{t'_2, t'_1 \in S^2 \times S^1} \mu_2(t'_2) \delta(t'_2, t'_1) d(t'_2, t'_1) \right) \\
& \leq \sum_{\substack{(s'_1, s'_2, f, k'_2) \in S^2 \\ k'_2 < k_1}} \mu_2(s'_1, s'_2, f, k'_2) d((s'_1, s'_2, f, k'_2)^2, (s'_1, s'_2, f, k'_2)^1) \\
& \quad + \sum_{\substack{(s'_1, s'_2, f, k'_2) \in S^2 \\ k_1 \leq k'_2}} \mu_2(s'_1, s'_2, f, k'_2) d((s'_1, s'_2, f, k'_2)^2, (s'_1, s'_2, f, k_1 - 1)^1) \\
& \leq \sum_{\substack{(s'_1, s'_2, f, k'_2) \in S^2 \\ k_1 \leq k'_2}} \mu_2(s'_1, s'_2, f, k'_2) d((s'_1, s'_2, f, k'_2)^2, (s'_1, s'_2, f, k_1 - 1)^1) \\
& \leq \sum_{\substack{(s'_1, s'_2, f, k'_2) \in S^2 \\ k_1 \leq k'_2}} \mu_2(s'_1, s'_2, f, k'_2) \lambda^{k_1 - 1} \quad \text{by induction} \\
& \leq \lambda^{k_1 - 1}
\end{aligned}$$

Since this is true for all  $\mu_2 \in \text{Sat}(\varphi_{12}^{B,k_2})$ , we thus have

$$D_{N^2, N^1}(\varphi_{12}^{B,k_2}, \varphi_{12}^{B,k_1}, d) \leq \lambda^{k_1 - 1}.$$

Finally, we have  $d(t_2, t_1) \leq \lambda \lambda^{k_1 - 1} = \lambda^k$ , which proves the induction.

- For all state  $t_0^2 = (s_0^1, s_0^2, e, K_2) \in T_0^2$ , there exists a state  $t_0^1 = (s_0^1, s_0^2, e, K_1) \in T_0^1$  such that  $d(t_0^2, t_0^1) \leq \lambda^{K_1}$ . As a consequence, we have  $d(N_1 \setminus^{K_2} N_2, N_1 \setminus^{K_1} N_2) \leq \lambda^{K_1}$ .

Let  $\varepsilon > 0$ . Since  $\lambda < 1$ , there exists  $K \in \mathbb{N}$  such that  $\lambda^K < \varepsilon$ . As a consequence, by the above lemma, we have that for all  $K \leq K_1 \leq K_2$ ,

$$d(N_1 \setminus^{K_2} N_2, N_1 \setminus^{K_1} N_2) \leq \lambda^{K_1} \leq \lambda^K < \varepsilon.$$

The sequence  $(N_1 \setminus^K N_2)_K$  is thus bi-Cauchy. Hence, because of Proposition 1, the sequence (of sets of PA)  $(\llbracket N_1 \setminus^K N_2 \rrbracket)_K$  is also bi-Cauchy. The other two items show that they converge.

**2.** Theorem 2 shows that the sequence  $(\llbracket N_1 \setminus^K N_2 \rrbracket)_K$  converges in a set-theoretic sense (as a direct limit), and that  $\lim_{K \rightarrow \infty} \llbracket N_1 \setminus^K N_2 \rrbracket = \llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket$ . Hence  $d_t(\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket, \lim_{K \rightarrow \infty} \llbracket N_1 \setminus^K N_2 \rrbracket) = 0$ , and by continuity of  $d_t$ ,  $\lim_{K \rightarrow \infty} d_t(\llbracket N_1 \rrbracket \setminus \llbracket N_2 \rrbracket, \llbracket N_1 \setminus^K N_2 \rrbracket) = 0$ .

**3.** Finally, we prove that  $\lim_{K \rightarrow \infty} d(N_1 \setminus^* N_2, N_1 \setminus^K N_2) = 0$ . This proof is very similar to the proof of Lemma 2 above: we can show that the distance between  $N_1 \setminus^* N_2$  and  $N_1 \setminus^K N_2$  is bounded as follows:

$$d(N_1 \setminus^* N_2, N_1 \setminus^K N_2) \leq \lambda^K.$$

Let  $N_1 \setminus^K N_2 = N^K = (S^K, A, L^K, AP, V^K, T_0^K)$  and  $N_1 \setminus^* N_2 = N^* = (S^*, A, L^*, AP, V^*, T_0^*)$ . We start by proving by induction on  $1 \leq k \leq K$  that for all  $(s_1, s_2, e) \in S_1 \times (S_2 \cup \perp) \times (A \cup \varepsilon)$ , we have  $d((s_1, s_2, e)^*, (s_1, s_2, e, k)) \leq \lambda^k$ . The only difference with the proof of Lemma 2 is in the choice of the function  $\delta : S^* \times S^K \rightarrow [0, 1]$  in the induction part. Here, we choose  $\delta$  as follows:

$$\delta((s'_1, s'_2, f), (s''_1, s''_2, f', k')) = \begin{cases} 1 & \text{if } s'_1 = s''_1 \wedge s'_2 = s''_2 \wedge f' = f \wedge k' = k - 1 \\ 0 & \text{otherwise} \end{cases}$$

The rest of the proof is identical, and we obtain that for all  $1 \leq k \leq K$  and for all  $(s_1, s_2, e) \in S_1 \times (S_2 \cup \perp) \times (A \cup \varepsilon)$ , we have  $d((s_1, s_2, e)^*, (s_1, s_2, e, k)) \leq \lambda^k$ . In particular, this is also true for initial states. As a consequence, for all state  $t_0^* = (s_0^2, s_0^1, e) \in T_0^*$ , there exists a state  $t_0^K = (s_0^1, s_0^2, e, K) \in T_0^K$  such that  $d(t_0^*, t_0^K) \leq \lambda^K$ . As a consequence, we have  $d(N_1 \setminus^* N_2, N_1 \setminus^K N_2) \leq \lambda^K$ .

As a consequence, we obtain:

$$\lim_{K \rightarrow \infty} d(N_1 \setminus^* N_2, N_1 \setminus^K N_2) = 0.$$

□